

Investment Company Report

LEGG MASON

Security	18469P100	Meeting Type	Special
Ticker Symbol	EMO	Meeting Date	06-Jul-2020
ISIN	US18469P1003	Agenda	935194706 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
1.	To approve a New Management Agreement with Legg Mason Partners Fund Advisor, LLC.	Management	Against	Against
2B.	To approve a New Subadvisory Agreement with: ClearBridge Investments, LLC	Management	Against	Against

Investment Company Report

TEMPLETON EMERGING MARKETS INVESTMENT TRUST PLC

Security	G87546100	Meeting Type	Annual General Meeting
Ticker Symbol		Meeting Date	09-Jul-2020
ISIN	GB0008829292	Agenda	712818181 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
1	TO RECEIVE AND ADOPT THE DIRECTORS' AND AUDITOR'S REPORTS AND FINANCIAL STATEMENTS FOR THE YEAR ENDED 31 MARCH 2020	Management	For	For
2	TO APPROVE THE DIRECTORS' REMUNERATION POLICY	Management	For	For
3	TO APPROVE THE DIRECTORS' REMUNERATION REPORT FOR THE YEAR ENDED 31 MARCH 2020	Management	For	For
4	TO DECLARE A FINAL DIVIDEND OF 14.00 PENCE PER SHARE FOR THE YEAR ENDED 31 MARCH 2020	Management	For	For
5.1	TO RE-ELECT PAUL MANDUCA AS A DIRECTOR	Management	For	For
5.2	TO RE-ELECT BEATRICE HOLLOND AS A DIRECTOR	Management	For	For
5.3	TO RE-ELECT CHARLIE RICKETTS AS A DIRECTOR	Management	For	For
5.4	TO RE-ELECT DAVID GRAHAM AS A DIRECTOR	Management	For	For
5.5	TO RE-ELECT SIMON JEFFREYS AS A DIRECTOR	Management	For	For
6	TO RE-APPOINT ERNST & YOUNG LLP AS AUDITOR OF THE COMPANY, TO ACT UNTIL THE CONCLUSION OF THE NEXT GENERAL MEETING OF THE COMPANY AT WHICH AUDITED ACCOUNTS ARE LAID BEFORE THE MEMBERS	Management	For	For
7	TO AUTHORISE THE DIRECTORS TO DETERMINE THE AUDITORS REMUNERATION	Management	For	For
8	THAT, IN SUBSTITUTION FOR ANY EXISTING AUTHORITY, THE DIRECTORS BE GENERALLY AND UNCONDITIONALLY AUTHORISED TO ALLOT EQUITY SECURITIES (AS DEFINED IN SECTION 560 OF THE COMPANIES ACT 2006 (THE ACT)) PURSUANT TO SECTION 551 OF THE ACT, UP TO AN AGGREGATE NOMINAL AMOUNT OF GBP 3,016,000 (BEING AN AMOUNT EQUAL TO 5% OF THE EXISTING ISSUED SHARE CAPITAL OF THE COMPANY AS AT 21 MAY 2020, BEING THE LATEST PRACTICABLE DATE BEFORE THE DATE OF THIS NOTICE), PROVIDED THAT THIS AUTHORITY SHALL, UNLESS RENEWED, VARIED OR REVOKED BY THE COMPANY, EXPIRE AT THE CONCLUSION OF THE ANNUAL GENERAL MEETING OF THE COMPANY TO BE HELD IN 2021 SAVE THAT THE COMPANY MAY, BEFORE SUCH EXPIRY, MAKE OFFERS OR AGREEMENTS WHICH	Management	For	For

WOULD OR MIGHT REQUIRE EQUITY SECURITIES TO BE ALLOTTED AND THE DIRECTORS MAY ALLOT EQUITY SECURITIES IN PURSUANCE OF SUCH OFFER OR AGREEMENT NOTWITHSTANDING THAT THE AUTHORITY CONFERRED BY THIS RESOLUTION HAS EXPIRED

9	<p>THAT, IN SUBSTITUTION FOR ANY EXISTING AUTHORITY, SUBJECT TO THE PASSING OF RESOLUTION 8, THE DIRECTORS BE GIVEN THE GENERAL POWER PURSUANT TO SECTIONS 570 AND 573 OF THE ACT TO ALLOT EQUITY SECURITIES (AS DEFINED BY SECTION 560 OF THE ACT) FOR CASH PURSUANT TO THE AUTHORITY CONFERRED BY RESOLUTION 8, AND/OR TO SELL EQUITY SECURITIES HELD AS TREASURY SHARES FOR CASH PURSUANT TO SECTION 727 OF THE ACT, IN EACH CASE AS IF SECTION 561(1) OF THE ACT DID NOT APPLY TO ANY SUCH ALLOTMENT OR SALE, PROVIDED THAT THIS POWER SHALL BE LIMITED TO: (A) ANY SUCH ALLOTMENT AND/OR SALE OF EQUITY SECURITIES IN CONNECTION WITH AN OFFER OR ISSUE BY WAY OF RIGHTS OR OTHER PRE-EMPTIVE OFFER OR ISSUE, OPEN FOR ACCEPTANCE FOR A PERIOD FIXED BY THE DIRECTORS, TO HOLDERS OF ORDINARY SHARES (OTHER THAN THE COMPANY) ON THE REGISTER ON ANY RECORD DATE FIXED BY THE DIRECTORS IN PROPORTION (AS NEARLY AS MAY BE) TO THE RESPECTIVE NUMBER OF ORDINARY SHARES DEEMED TO BE HELD BY THEM, SUBJECT TO SUCH EXCLUSIONS OR OTHER ARRANGEMENTS AS THE DIRECTORS MAY DEEM NECESSARY OR EXPEDIENT IN RELATION TO FRACTIONAL ENTITLEMENTS, LEGAL OR PRACTICAL PROBLEMS ARISING IN ANY OVERSEAS TERRITORY, THE REQUIREMENTS OF ANY REGULATORY BODY OR STOCK EXCHANGE OR ANY OTHER MATTER WHATSOEVER; AND (B) ANY SUCH ALLOTMENT AND/OR SALE, OTHERWISE THAN PURSUANT TO SUB-PARAGRAPH (A) ABOVE, OF EQUITY SECURITIES HAVING, IN THE CASE OF ORDINARY SHARES, AN AGGREGATE NOMINAL VALUE OR, IN THE CASE OF OTHER EQUITY SECURITIES, GIVING THE RIGHT TO SUBSCRIBE FOR OR CONVERT INTO ORDINARY SHARES HAVING AN AGGREGATE NOMINAL VALUE, NOT EXCEEDING THE SUM OF GBP 3,016,000 (BEING AN AMOUNT EQUAL TO 5% OF THE EXISTING ISSUED SHARE CAPITAL OF THE COMPANY AS AT 21 MAY 2020, BEING THE LATEST PRACTICABLE DATE BEFORE THE DATE OF THIS NOTICE). THE POWER GRANTED BY THIS RESOLUTION WILL EXPIRE ON THE CONCLUSION OF THE ANNUAL GENERAL MEETING OF THE COMPANY TO BE HELD IN 2021 (UNLESS RENEWED, VARIED OR REVOKED BY THE COMPANY PRIOR TO OR ON SUCH DATE) SAVE THAT THE COMPANY MAY, BEFORE SUCH EXPIRY, MAKE OFFERS OR</p>	Management	For	For
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Investment Company Report

	<p>AGREEMENTS WHICH WOULD OR MIGHT REQUIRE EQUITY SECURITIES TO BE ALLOTTED OR EQUITY SECURITIES HELD AS TREASURY SHARES TO BE SOLD AFTER SUCH EXPIRY AND THE DIRECTORS MAY ALLOT AND/OR SELL EQUITY SECURITIES HELD AS TREASURY SHARES IN PURSUANCE OF ANY SUCH OFFER OR AGREEMENT NOTWITHSTANDING THAT THE POWER CONFERRED BY THIS RESOLUTION HAS EXPIRED</p>			
10	<p>THAT, IN SUBSTITUTION FOR ANY EXISTING AUTHORITY, THE COMPANY BE AND IS HEREBY AUTHORISED IN ACCORDANCE WITH SECTION 701 OF THE COMPANIES ACT 2006 TO MAKE MARKET PURCHASES (WITHIN THE MEANING OF SECTION 693(4) OF THE COMPANIES ACT 2006), OF ITS ORDINARY OF SHARES OF 25 PENCE EACH IN THE CAPITAL OF THE COMPANY ("SHARES") PROVIDED THAT: (I) THE MAXIMUM NUMBER OF SHARES HEREBY AUTHORISED TO BE PURCHASED SHALL NOT EXCEED 14.99 PER CENT OF THE SHARES IN ISSUE ON 9 JULY 2020, OR 36,168,190 SHARES, WHICHEVER IS LOWER; (II) THE MINIMUM PRICE WHICH MAY BE PAID FOR A SHARE SHALL BE 25 PENCE; (III) THE MAXIMUM PRICE WHICH MAY BE PAID (EXCLUDING EXPENSES) FOR A SHARE SHALL NOT BE MORE THAN THE HIGHER OF: (A) AN AMOUNT EQUAL TO 105 PER CENT OF THE AVERAGE OF THE CLOSING MID-MARKET PRICE OF SHARES (AS DERIVED FROM THE DAILY OFFICIAL LIST OF THE LONDON STOCK EXCHANGE) FOR THE FIVE BUSINESS DAYS IMMEDIATELY PRECEDING THE DATE OF PURCHASE; AND (B) THE HIGHER OF THE LAST INDEPENDENT TRADE PRICE AND THE HIGHEST CURRENT INDEPENDENT PURCHASE BID PRICE ON THE LONDON STOCK EXCHANGE; AND (IV) UNLESS RENEWED, THE AUTHORITY HEREBY CONFERRED SHALL EXPIRE ON THE CONCLUSION OF THE ANNUAL GENERAL MEETING OF THE COMPANY TO BE HELD IN 2021, SAVE THAT THE COMPANY MAY, AND PRIOR TO SUCH EXPIRY, ENTER INTO A CONTRACT TO PURCHASE SHARES WHICH WILL OR MAY BE COMPLETED WHOLLY OR PARTLY AFTER SUCH EXPIRY</p>	Management	For	For
11	<p>THAT THE ARTICLES OF ASSOCIATION PRODUCED TO THE MEETING AND INITIALLED BY THE CHAIRMAN OF THE MEETING FOR THE PURPOSE OF IDENTIFICATION BE ADOPTED AS THE NEW ARTICLES OF ASSOCIATION OF THE COMPANY IN SUBSTITUTION FOR, AND TO THE EXCLUSION OF, THE EXISTING ARTICLES OF ASSOCIATION</p>	Management	For	For
12	<p>THAT A GENERAL MEETING, OTHER THAN AN ANNUAL GENERAL MEETING, MAY BE CALLED ON NOT LESS THAN 14 CLEAR DAYS' NOTICE</p>	Management	For	For

Investment Company Report

ALLIANZGI ARTIFICIAL

Security	01883M101	Meeting Type	Annual
Ticker Symbol	AIO	Meeting Date	09-Jul-2020
ISIN	US01883M1018	Agenda	935238673 - Opposition

Item	Proposal	Proposed by	Vote	For/Against Management
1A.	Election of Trustee: Hans W. Kertess	Management	Abstain	Against
1B.	Election of Trustee: William B. Ogden, IV	Management	Abstain	Against
1C.	Election of Trustee: Alan Rappaport	Management	Abstain	Against
1D.	Election of Trustee: Davey S. Scoon	Management	Abstain	Against

Investment Company Report

JPMORGAN EUROPEAN DISCOVERY TRUST PLC

Security	G5S953184	Meeting Type	Annual General Meeting
Ticker Symbol		Meeting Date	20-Jul-2020
ISIN	GB00BMTS0Z37	Agenda	712844631 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
1	TO RECEIVE THE DIRECTORS REPORT THE ANNUAL ACCOUNTS AND THE AUDITORS REPORT FOR THE YEAR ENDED 31ST MARCH 2020	Management	For	For
2	TO APPROVE THE DIRECTORS REMUNERATION POLICY	Management	For	For
3	TO APPROVE THE DIRECTORS REMUNERATION REPORT FOR THE YEAR ENDED 31ST MARCH 2020	Management	For	For
4	TO DECLARE A FINAL DIVIDEND OF 5.5 PENCE PER SHARE	Management	For	For
5	TO APPOINT TANYA CORDREY AS A DIRECTOR OF THE COMPANY	Management	For	For
6	TO REAPPOINT MARC VAN GELDER AS A DIRECTOR OF THE COMPANY	Management	For	For
7	TO REAPPOINT ASHOK GUPTA AS A DIRECTOR OF THE COMPANY	Management	For	For
8	TO REAPPOINT NICHOLAS SMITH AS A DIRECTOR OF THE COMPANY	Management	For	For
9	TO REAPPOINT STEPHEN WHITE AS A DIRECTOR OF THE COMPANY	Management	For	For
10	TO REAPPOINT ERNST AND YOUNG AS AUDITOR OF THE COMPANY AND THAT THEIR REMUNERATION BE FIXED BY THE DIRECTORS	Management	For	For
11	TO GRANT AUTHORITY TO ALLOT NEW SHARES	Management	For	For
12	TO GRANT AUTHORITY TO DISAPPLY PRE-EMPTION RIGHTS ON ALLOTMENT OF RELEVANT SECURITIES	Management	For	For
13	TO GRANT AUTHORITY TO REPURCHASE THE COMPANYS SHARES	Management	For	For
14	TO ADOPT OF NEW ARTICLES OF ASSOCIATION	Management	For	For

Investment Company Report

PERPETUAL INCOME AND GROWTH INVESTMENT TRUST PLC

Security	G7013X100	Meeting Type	Annual General Meeting
Ticker Symbol		Meeting Date	21-Jul-2020
ISIN	GB0006798424	Agenda	712821758 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
1	TO RECEIVE THE ANNUAL FINANCIAL REPORT FOR THE YEAR ENDED 31 MARCH 2020	Management	For	For
2	TO RE-ELECT MIKE BALFOUR AS A DIRECTOR OF THE COMPANY	Management	For	For
3	TO RE-ELECT VICTORIA COCHRANE AS A DIRECTOR OF THE COMPANY	Management	For	For
4	TO RE-ELECT GEORGINA FIELD AS A DIRECTOR OF THE COMPANY	Management	For	For
5	TO RE-ELECT ALAN GILES AS A DIRECTOR OF THE COMPANY	Management	For	For
6	TO RE-ELECT RICHARD LAING AS A DIRECTOR OF THE COMPANY	Management	For	For
7	TO RE-ELECT BOB YERBURY AS A DIRECTOR OF THE COMPANY	Management	Against	Against
8	TO APPROVE THE COMPANY'S DIVIDEND PAYMENT POLICY	Management	For	For
9	TO APPROVE THE ANNUAL STATEMENT AND REPORT ON REMUNERATION	Management	For	For
10	TO RE-APPOINT ERNST AND YOUNG LLP AS AUDITOR	Management	For	For
11	TO AUTHORISE THE AUDIT COMMITTEE TO DETERMINE THE AUDITOR'S REMUNERATION	Management	For	For
12	TO AUTHORISE THE DIRECTORS TO ALLOT SECURITIES	Management	For	For
13	TO AUTHORISE THE DIRECTORS TO ALLOT SECURITIES FOR CASH DISAPPLYING STATUTORY PRE-EMPTION RIGHTS	Management	For	For
14	TO AUTHORISE THE DIRECTORS TO BUY BACK UP TO 14.99 PER CENT OF THE COMPANY'S ISSUED ORDINARY SHARES	Management	For	For
15	TO APPROVE THE 14 DAYS' NOTICE PERIOD FOR GENERAL MEETINGS	Management	For	For

Investment Company Report

EDINBURGH INVESTMENT TRUST PLC

Security	G29316109	Meeting Type	Annual General Meeting
Ticker Symbol		Meeting Date	23-Jul-2020
ISIN	GB0003052338	Agenda	712873884 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
1	TO RECEIVE AND ADOPT THE ANNUAL FINANCIAL REPORT FOR THE YEAR ENDED 31 MARCH 2020	Management	For	For
2	TO APPROVE THE ANNUAL STATEMENT AND REPORT ON REMUNERATION FOR THE YEAR ENDED 31 MARCH 2020	Management	For	For
3	TO APPROVE A FINAL DIVIDEND	Management	For	For
4	TO RE-ELECT GLEN SUAREZ AS A DIRECTOR	Management	For	For
5	TO RE-ELECT STEVEN BALDWIN AS A DIRECTOR	Management	For	For
6	TO RE-ELECT VICTORIA HASTINGS AS A DIRECTOR	Management	For	For
7	TO RE-ELECT GORDON MCQUEEN AS DIRECTOR	Management	For	For
8	TO RE-ELECT MAXWELL WARD AS A DIRECTOR	Management	For	For
9	TO RE-ELECT ELISABETH STHEEMAN AS A DIRECTOR	Management	For	For
10	TO RE-APPOINT PRICEWATERHOUSECOOPERS LLP AS AUDITORS TO THE COMPANY	Management	For	For
11	TO AUTHORISE THE AUDIT COMMITTEE TO FIX THE REMUNERATION OF THE AUDITORS	Management	For	For
12	TO GIVE AUTHORITY TO ALLOT NEW SHARES	Management	For	For
13	TO GIVE AUTHORITY TO ALLOT NEW SHARES FREE FROM PRE-EMPTION RIGHTS	Management	For	For
14	TO GIVE AUTHORITY FOR THE COMPANY TO PURCHASE ITS OWN SHARES	Management	For	For
15	TO AUTHORISE CALLING GENERAL MEETINGS (OTHER THAN ANNUAL GENERAL MEETINGS) ON 14 CLEAR DAYS' NOTICE	Management	For	For
16	TO APPROVE REVISED ARTICLES OF ASSOCIATION	Management	For	For

Investment Company Report

BLACKROCK HEALTH SCIENCES

Security	09260E105	Meeting Type	Annual
Ticker Symbol	BMEZ	Meeting Date	27-Jul-2020
ISIN	US09260E1055	Agenda	935237330 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		
	1	Cynthia L. Egan	Withheld	Against
	2	Michael J. Castellano	Withheld	Against
	3	Catherine A. Lynch	Withheld	Against

Investment Company Report

BLACKROCK FUNDS

Security	09260K101	Meeting Type	Annual
Ticker Symbol	BSTZ	Meeting Date	27-Jul-2020
ISIN	US09260K1016	Agenda	935237330 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		
	1	Cynthia L. Egan	Withheld	Against
	2	Michael J. Castellano	Withheld	Against
	3	Catherine A. Lynch	Withheld	Against

Investment Company Report

JPMORGAN JAPAN SMALL CAP GROWTH & INCOME PLC

Security	G51979105	Meeting Type	Annual General Meeting
Ticker Symbol		Meeting Date	28-Jul-2020
ISIN	GB0003165817	Agenda	712914262 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
1	TO RECEIVE THE DIRECTORS REPORT THE ANNUAL ACCOUNTS AND THE AUDITORS REPORT FOR THE YEAR ENDED 31ST MARCH 2020	Management	For	For
2	TO APPROVE THE DIRECTORS REMUNERATION POLICY	Management	For	For
3	TO APPROVE THE DIRECTORS REMUNERATION REPORT FOR THE YEAR ENDED 31ST MARCH 2020	Management	For	For
4	TO APPROVE THE DIVIDEND POLICY OF THE COMPANY AS SET OUT IN THE ANNUAL REPORT	Management	For	For
5	TO RE-APPOINT ALEXA HENDERSON AS A DIRECTOR OF THE COMPANY	Management	For	For
6	TO RE-APPOINT YUUICHIRO NAKAJIMA AS DIRECTOR OF THE COMPANY	Management	For	For
7	TO RE-APPOINT DEBORAH GUTHRIE AS A DIRECTOR OF THE COMPANY	Management	For	For
8	TO APPOINT MARTIN SHENFIELD AS A DIRECTOR OF THE COMPANY	Management	For	For
9	TO APPOINT TOM WALKER AS A DIRECTOR	Management	For	For
10	TO RE-APPOINT GRANT THORNTON UK LLP AS THE AUDITOR OF THE COMPANY AND TO AUTHORISE THE DIRECTORS TO DETERMINE ITS REMUNERATION	Management	For	For
11	TO GRANT AUTHORITY TO ALLOT NEW ORDINARY SHARES	Management	For	For
12	TO GRANT AUTHORITY TO DIS-APPLY PRE-EMPTION RIGHTS ON ALLOTMENT OF RELEVANT SECURITIES	Management	For	For
13	TO GRANT AUTHORITY TO RE-PURCHASE THE COMPANY'S SHARES	Management	For	For

Investment Company Report

ROYCE VALUE TRUST, INC.

Security	780910105	Meeting Type	Special
Ticker Symbol	RVT	Meeting Date	29-Jul-2020
ISIN	US7809101055	Agenda	935221553 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
1.	Proposal to consider and approve a new investment advisory agreement, by and between Royce & Associates, LP and Royce Value Trust, Inc.	Management	Against	Against

Investment Company Report

BMO GLOBAL SMALLER COMPANIES PLC

Security	G6052K102	Meeting Type	Annual General Meeting
Ticker Symbol		Meeting Date	30-Jul-2020
ISIN	GB00BKLXD974	Agenda	712912648 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
1	ADOPTION OF REPORT AND ACCOUNTS	Management	For	For
2	APPROVAL OF DIRECTORS' REMUNERATION POLICY	Management	For	For
3	TO APPROVE THE DIRECTORS' ANNUAL REMUNERATION REPORT	Management	For	For
4	DECLARATION OF A FINAL DIVIDEND: DIVIDEND OF 1.15 PENCE PER SHARE	Management	For	For
5	ELECTION OF NICK BANNERMAN	Management	For	For
6	ELECTION OF GRAHAM OLDROYD	Management	For	For
7	RE-ELECTION OF ANJA BALFOUR	Management	For	For
8	RE-ELECTION OF JOSEPHINE DIXON	Management	For	For
9	RE-ELECTION OF DAVID STILEMAN	Management	For	For
10	REAPPOINTMENT OF BDO LLP AS AUDITORS	Management	For	For
11	AUTHORITY TO DETERMINE THE AUDITORS' REMUNERATION	Management	For	For
12	AUTHORITY TO ALLOT SECURITIES	Management	For	For
13	AUTHORITY TO ALLOT SECURITIES FOR CASH	Management	For	For
14	AUTHORITY TO BUY BACK SHARES	Management	For	For
15	ADOPTION OF NEW ARTICLES OF ASSOCIATION	Management	For	For
16	NOTICE PERIOD FOR MEETINGS	Management	For	For
CMMT	03 JUL 2020: PLEASE NOTE THAT THIS IS A REVISION DUE TO MODIFICATION OF TEXT-IN RESOLUTION 4. IF YOU HAVE ALREADY SENT IN YOUR VOTES, PLEASE DO NOT VOTE-AGAIN UNLESS YOU DECIDE TO AMEND YOUR ORIGINAL INSTRUCTIONS. THANK YOU.	Non-Voting		

Investment Company Report

BAILLIE GIFFORD UK GROWTH TRUST PLC

Security	G7860T103	Meeting Type	Annual General Meeting
Ticker Symbol		Meeting Date	05-Aug-2020
ISIN	GB0007913485	Agenda	712916230 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
1	TO RECEIVE AND ADOPT THE FINANCIAL STATEMENTS OF THE COMPANY FOR THE YEAR TO 30 APRIL 2020 WITH THE REPORTS OF THE DIRECTORS AND OF THE INDEPENDENT AUDITORS THEREON	Management	For	For
2	TO APPROVE THE DIRECTORS' REMUNERATION POLICY	Management	For	For
3	TO APPROVE THE DIRECTORS' ANNUAL REPORT ON REMUNERATION FOR THE YEAR TO 30 APRIL 2020	Management	For	For
4	TO DECLARE A FINAL DIVIDEND OF 3.10P PER ORDINARY SHARE	Management	For	For
5	TO RE-ELECT CAROLAN DOBSON AS A DIRECTOR	Management	For	For
6	TO RE-ELECT ANDREW WESTENBERGER AS A DIRECTOR	Management	For	For
7	TO RE-ELECT SCOTT COCHRANE AS A DIRECTOR	Management	For	For
8	TO RE-ELECT RUARY NEILL AS A DIRECTOR	Management	For	For
9	TO APPOINT ERNST & YOUNG LLP AS INDEPENDENT AUDITOR OF THE COMPANY	Management	For	For
10	TO AUTHORISE THE DIRECTORS TO DETERMINE THE REMUNERATION OF THE INDEPENDENT AUDITOR OF THE COMPANY	Management	For	For
11	TO APPROVE THE DIRECTORS' GENERAL AUTHORITY TO ISSUE SHARES	Management	For	For
12	TO APPROVE BY SPECIAL RESOLUTION THAT THE DIRECTORS BE AUTHORISED TO ISSUE SHARES/SELL TREASURY SHARES ON A NON-PRE-EMPTIVE BASIS	Management	For	For
13	TO APPROVE BY SPECIAL RESOLUTION THAT THE COMPANY BE AUTHORISED TO BUY BACK ITS OWN SHARES	Management	For	For

Investment Company Report

POLAR CAPITAL TECHNOLOGY TRUST PLC

Security	G7151N104	Meeting Type	Annual General Meeting
Ticker Symbol		Meeting Date	02-Sep-2020
ISIN	GB0004220025	Agenda	713016803 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
1	TO RECEIVE THE ANNUAL REPORT AND FINANCIAL STATEMENTS FOR THE YEAR ENDED 30 APRIL 2020	Management	For	For
2	THAT THE COMPANY CONTINUES AS AN INVESTMENT COMPANY	Management	For	For
3	TO RECEIVE AND APPROVE THE DIRECTORS REMUNERATION IMPLEMENTATION REPORT	Management	For	For
4	TO RECEIVE AND APPROVE THE DIRECTORS REMUNERATION POLICY	Management	For	For
5	TO RE-ELECT MRS BATES AS A DIRECTOR	Management	For	For
6	TO RE-ELECT MR CRUTTENDEN AS A DIRECTOR	Management	For	For
7	TO RE-ELECT MS GINMAN AS A DIRECTOR	Management	For	For
8	TO RE-ELECT MR PARK AS A DIRECTOR	Management	For	For
9	TO RE-ELECT MR WHITE AS A DIRECTOR	Management	For	For
10	TO RE-APPOINT KPMG LLP AS AUDITOR	Management	For	For
11	TO AUTHORISE THE DIRECTORS TO DETERMINE THE AUDITORS REMUNERATION	Management	For	For
12	TO AUTHORISE THE ALLOTMENT OF SHARES	Management	For	For
13	TO DISAPPLY PRE-EMPTION RIGHTS	Management	For	For
14	TO AUTHORISE THE COMPANY TO BUY BACK ITS ORDINARY SHARES	Management	For	For

Investment Company Report

SPROTT FUNDS

Security	85208J109	Meeting Type	Annual
Ticker Symbol	FUND	Meeting Date	09-Sep-2020
ISIN	US85208J1097	Agenda	935259881 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		
	1	Barbara Connolly Keady	Withheld	Against
	2	Peyton Tansill Muldoon	Withheld	Against

Investment Company Report

ROYCE VALUE TRUST, INC.

Security	780910105	Meeting Type	Annual
Ticker Symbol	RVT	Meeting Date	24-Sep-2020
ISIN	US7809101055	Agenda	935263070 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		
	1	Christopher D. Clark	Withheld	Against
	2	Christopher C. Grisanti	Withheld	Against
	3	Cecile B. Harper	Withheld	Against

Investment Company Report

PERPETUAL INCOME AND GROWTH INVESTMENT TRUST PLC

Security	G7013X100	Meeting Type	Ordinary General Meeting
Ticker Symbol		Meeting Date	09-Nov-2020
ISIN	GB0006798424	Agenda	713330796 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
1	<p>THAT: 1.1 WITH EFFECT FROM THE DATE ON WHICH THE AMENDMENT TO THE OFFICIAL LIST OF THE FCA TO REFLECT THE RECLASSIFICATION OF THE ORDINARY SHARES OF 10 PENCE EACH IN THE CAPITAL OF THE COMPANY (THE "SHARES") (THE "AMENDMENT") BECOMES EFFECTIVE BUT SUBJECT ALWAYS TO PARAGRAPH 1.5 OF THIS RESOLUTION, EACH OF THE SHARES IN ISSUE AT THE DATE OF THE PASSING OF THIS RESOLUTION (OTHER THAN ANY SHARES HELD BY THE COMPANY IN TREASURY) SHALL BE RECLASSIFIED AS SHARES THE HOLDER OF WHICH HAS (OR IS DEEMED TO HAVE) ELECTED TO HAVE RECLASSIFIED AS SHARES WITH "A" RIGHTS OR "B" RIGHTS AS THE CASE MAY BE (THE "RECLASSIFIED SHARES") IN SUCH RESPECTIVE NUMBERS AS MAY BE REQUIRED TO GIVE EFFECT TO ANY ELECTION VALIDLY MADE (OR DEEMED TO HAVE BEEN MADE) BY THE HOLDER OF THE SHARES AND OTHERWISE IN ACCORDANCE WITH THE TERMS OF THE SCHEME SET OUT IN PART 4 OF THE CIRCULAR DATED 12 OCTOBER 2020 TO SHAREHOLDERS OF THE COMPANY OF WHICH THIS NOTICE FORMS PART (THE "CIRCULAR"), A COPY OF WHICH HAS BEEN LAID BEFORE THE MEETING AND SIGNED FOR THE PURPOSE OF IDENTIFICATION BY THE CHAIRMAN OF THE MEETING; 1.2. FOR THE PURPOSES OF THIS SPECIAL RESOLUTION: 1.2.1. TO THE EXTENT ANY HOLDER OF SHARES SHALL HAVE VALIDLY ELECTED (OR SHALL BE DEEMED TO HAVE VALIDLY ELECTED) FOR, AND UNDER THE TERMS OF THE SCHEME WILL BECOME ENTITLED TO RECEIVE, NEW MUT SHARES, SUCH SHARES SHALL BE RECLASSIFIED AS SHARES WITH "A" RIGHTS; AND 1.2.2. TO THE EXTENT ANY HOLDER OF SHARES SHALL HAVE VALIDLY ELECTED (OR SHALL BE DEEMED TO HAVE VALIDLY ELECTED) FOR, AND UNDER THE TERMS OF THE SCHEME WILL BECOME ENTITLED TO RECEIVE, CASH PURSUANT TO THE CASH OPTION, SUCH SHARES SHALL BE RECLASSIFIED AS SHARES WITH "B" RIGHTS; 1.3. EACH OF THE HOLDERS OF THE SHARES WITH THE RIGHTS SET OUT IN PARAGRAPH 1.2 ABOVE SHALL HAVE THE RESPECTIVE RIGHTS SET OUT IN THE ARTICLES OF ASSOCIATION OF THE COMPANY AS AMENDED BY THIS SPECIAL RESOLUTION; 1.4. WITH EFFECT FROM THE DATE ON WHICH THE AMENDMENT</p>	Management	For	For

BECOMES EFFECTIVE, BUT SUBJECT ALWAYS TO PARAGRAPH 1.5 OF THIS RESOLUTION, THE ARTICLES OF ASSOCIATION OF THE COMPANY BE AND ARE HEREBY AMENDED BY: 1.4.1. THE AMENDMENT OF ARTICLE 4 BY THE INSERTION OF THE FOLLOWING AS A NEW ARTICLE 4.2 AND THE UPDATING OF THE NUMBERING ACCORDINGLY: "EVERY REFERENCE IN THESE ARTICLES TO ORDINARY SHARES SHALL BE CONSTRUED AS A REFERENCE TO THE ORDINARY SHARES OF 10 PENCE EACH IN THE CAPITAL OF THE COMPANY WHICH ARE DESIGNATED AS SHARES WITH EITHER "A" RIGHTS OR "B" RIGHTS AS SET OUT IN ARTICLE 5.3 BELOW. NOTWITHSTANDING ANYTHING TO THE CONTRARY IN THESE ARTICLES, EACH CLASS OF ORDINARY SHARE WILL HAVE ATTACHED TO IT THE RESPECTIVE RIGHTS AND PRIVILEGES AND BE SUBJECT TO THE RESPECTIVE LIMITATIONS AND RESTRICTIONS SET OUT IN ARTICLE 5.3"; 1.4.2. THE INSERTION OF THE FOLLOWING AS A NEW ARTICLE 5.3: "WORDS AND EXPRESSIONS DEFINED IN THE CIRCULAR TO SHAREHOLDERS OF THE COMPANY DATED 12 OCTOBER 2020 SHALL BEAR THE SAME MEANINGS IN THIS ARTICLE 5.3, SAVE WHERE THE CONTEXT OTHERWISE REQUIRES. THE RIGHTS ATTACHING TO THE SHARES WITH "A" RIGHTS AND THE SHARES WITH "B" RIGHTS SHALL BE IDENTICAL TO EACH OTHER SAVE THAT IN A WINDING UP OF THE COMPANY IN THE CIRCUMSTANCES SET OUT IN THE CIRCULAR (SUBJECT TO THE SCHEME BECOMING UNCONDITIONAL IN ALL RESPECTS IN ACCORDANCE WITH ITS TERMS) THE RECLASSIFIED SHARES SHALL HAVE THE FOLLOWING ADDITIONAL RIGHTS, NOTWITHSTANDING ANYTHING TO THE CONTRARY IN THESE ARTICLES: (1) THE RIGHTS OF HOLDERS OF SHARES WITH "A" RIGHTS IN RESPECT OF THE ASSETS OF THE COMPANY SHALL BE SATISFIED BY THE ISSUE TO THE HOLDERS THEREOF OF THE NUMBER OF NEW MUT SHARES TO WHICH THEY SHALL BE ENTITLED IN ACCORDANCE WITH THE SCHEME TOGETHER WITH THEIR ENTITLEMENT TO ANY RELEVANT CASH (AS DEFINED BELOW) IN ACCORDANCE WITH THE SCHEME; (2) THE RIGHTS OF HOLDERS OF SHARES WITH "B" RIGHTS IN RESPECT OF THE ASSETS OF THE COMPANY SHALL BE SATISFIED BY THE PAYMENT TO THE HOLDERS THEREOF OF THE AMOUNT OF CASH TO WHICH THEY SHALL RESPECTIVELY BE ENTITLED IN ACCORDANCE WITH THE SCHEME TOGETHER WITH THEIR ENTITLEMENT TO ANY RELEVANT CASH (AS DEFINED BELOW) IN ACCORDANCE WITH THE SCHEME; (3) ANY CASH ARISING IN THE COMPANY AFTER THE TRANSFER OF THE ROLLOVER POOL AND ANY SURPLUS REMAINING IN THE LIQUIDATION POOL ("RELEVANT CASH") SHALL BE DISTRIBUTED IN ACCORDANCE WITH THE

SCHEME"; 1.4.3. SUCH FURTHER AMENDMENTS TO THE ARTICLES OF ASSOCIATION OF THE COMPANY AS MAY BE REQUIRED TO GIVE EFFECT TO THIS RESOLUTION; 1.5. IF THE SCHEME DOES NOT BECOME UNCONDITIONAL BY THE END OF THE SECOND GENERAL MEETING, THE AMENDMENTS TO THE ARTICLES OF ASSOCIATION OF THE COMPANY EFFECTED BY PARAGRAPH 1.4 OF THIS RESOLUTION SHALL BE FURTHER AMENDED SUCH THAT THE AMENDMENT OF ARTICLE 4 AND THE INSERTION OF ARTICLE 5.3 SHALL CEASE TO HAVE EFFECT AS FROM THE CLOSE OF THAT MEETING (OR ANY ADJOURNED MEETING), THE RECLASSIFICATION OF SHARES PROVIDED FOR BY THIS RESOLUTION SHALL BE REVERSED AND EACH RECLASSIFIED SHARE SHALL REVERT TO BEING A SHARE RANKING PARI PASSU IN ALL RESPECTS; AND 1.6. THE TERMS DEFINED IN THE CIRCULAR HAVE THE SAME MEANINGS IN THIS SPECIAL RESOLUTION

2	<p>THAT, SUBJECT TO: (I) THE PASSING OF RESOLUTION 1 ABOVE AT THIS MEETING (OR AT ANY ADJOURNMENT HEREOF) AND IT BECOMING UNCONDITIONAL; (II) THE SCHEME BECOMING UNCONDITIONAL IN ACCORDANCE WITH ITS TERMS ON OR PRIOR TO 31 DECEMBER 2020; AND (III) THE PASSING AT A GENERAL MEETING OF THE COMPANY CONVENED FOR 17 NOVEMBER 2020 (OR ANY ADJOURNMENT THEREOF) OF A RESOLUTION FOR THE VOLUNTARY WINDING UP OF THE COMPANY AND THE APPOINTMENT OF THE LIQUIDATORS: 2.1. THE SCHEME SET OUT IN PART 4 OF THE CIRCULAR TO SHAREHOLDERS OF THE COMPANY DATED 12 OCTOBER 2020 (THE "CIRCULAR"), A COPY OF WHICH HAS BEEN LAID BEFORE THIS MEETING AND SIGNED FOR THE PURPOSE OF IDENTIFICATION BY THE CHAIRMAN OF THE MEETING, BE AND IS HEREBY APPROVED AND THE LIQUIDATORS OF THE COMPANY WHEN APPOINTED (JOINTLY AND SEVERALLY THE "LIQUIDATORS") BE AND HEREBY ARE AUTHORISED TO IMPLEMENT THE SCHEME AND TO EXECUTE ANY DOCUMENT AND DO ANY THING FOR THE PURPOSE OF CARRYING THE SCHEME INTO EFFECT; 2.2. THE LIQUIDATORS, WHEN APPOINTED, WILL BE AND HEREBY ARE AUTHORISED AND DIRECTED: 2.2.1. UNDER THIS SPECIAL RESOLUTION AND THE ARTICLES OF ASSOCIATION OF THE COMPANY, AS AMENDED AND AS PROVIDED IN RESOLUTION 1 ABOVE, AND PURSUANT TO SECTION 110 OF THE INSOLVENCY ACT 1986, TO ENTER INTO AND GIVE EFFECT TO THE TRANSFER AGREEMENT (IN THEIR PERSONAL CAPACITY AND ON BEHALF OF THE COMPANY) REFERRED TO IN THE CIRCULAR WITH MUT AND IN THE FORM OF THE DRAFT LAID BEFORE THE MEETING AND SIGNED FOR THE PURPOSES OF</p>	Management	For	For
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IDENTIFICATION BY THE CHAIRMAN WITH SUCH AMENDMENTS AS THE PARTIES THERETO MAY FROM TIME TO TIME AGREE; TO REQUEST MUT TO ALLOT AND ISSUE MUT SHARES IN THE CAPITAL OF MUT, CREDITED AS FULLY PAID, ON THE BASIS DESCRIBED IN THE TRANSFER AGREEMENT FOR DISTRIBUTION AMONG THE HOLDERS OF ORDINARY SHARES IN THE CAPITAL OF THE COMPANY ENTITLED THERETO UNDER THE SCHEME (OR TO THE LIQUIDATORS AS NOMINEE ON THEIR BEHALF) BY WAY OF SATISFACTION AND DISCHARGE OF THEIR RESPECTIVE INTERESTS IN SO MUCH OF THE PROPERTY AND ASSETS OF THE COMPANY AS SHALL BE TRANSFERRED TO MUT IN ACCORDANCE WITH THE TRANSFER AGREEMENT AND WITH THE SCHEME; TO PROCURE THAT THE ROLLOVER POOL BE VESTED IN MUT (OR ITS NOMINEES) ON AND SUBJECT TO THE TERMS OF THE TRANSFER AGREEMENT; 2.2.4. TO REALISE FOR CASH THE UNDERTAKING, CASH AND OTHER ASSETS COMPRISING THE CASH POOL; 2.2.5. TO DISTRIBUTE CASH AMONG THE HOLDERS OF SHARES WITH "B" RIGHTS BY WAY OF SATISFACTION AND DISCHARGE OF THEIR INTERESTS IN SO MUCH OF THE COMPANY AS SHALL COMPRISE THE CASH POOL IN ACCORDANCE WITH THE SCHEME; 2.2.6. TO CONVERT INTO CASH ANY ASSETS IN THE LIQUIDATION POOL (INCLUDING ANY UNQUOTED INVESTMENTS) AND TO RAISE THE MONEY TO PURCHASE THE INTEREST OF ANY MEMBER OF THE COMPANY WHO VALIDLY DISSENTS FROM THIS RESOLUTION UNDER SECTION 111(2) OF THE INSOLVENCY ACT 1986 FROM THE LIQUIDATION POOL (AS DEFINED IN THE SCHEME); 2.2.7. TO TRANSFER ANY SURPLUS IN THE LIQUIDATION POOL IN ACCORDANCE WITH THE SCHEME; AND 2.2.8. TO APPLY FOR THE ADMISSION OF THE ORDINARY SHARES OF 10P EACH IN THE CAPITAL OF THE COMPANY TO THE PREMIUM SEGMENT OF THE OFFICIAL LIST AND TO TRADING ON THE MAIN MARKET TO BE CANCELLED WITH EFFECT FROM SUCH DATE AS THE LIQUIDATORS MAY DETERMINE; 2.3. THE ARTICLES OF ASSOCIATION OF THE COMPANY BE AND ARE HEREBY AMENDED BY INSERTING THE FOLLOWING AS A NEW ARTICLE 152A: "NOTWITHSTANDING THE PROVISIONS OF THESE ARTICLES, UPON THE WINDING-UP OF THE COMPANY IN CONNECTION WITH THE SCHEME (THE "SCHEME") SET OUT IN PART 4 OF THE CIRCULAR DATED 12 OCTOBER 2020 TO MEMBERS OF THE COMPANY (THE "CIRCULAR"), THE LIQUIDATORS OF THE COMPANY WILL GIVE EFFECT TO THE SCHEME AND WILL ENTER INTO AND GIVE EFFECT TO THE TRANSFER AGREEMENT WITH MURRAY INCOME TRUST PLC (AS DULY AMENDED WHERE RELEVANT), A DRAFT OF WHICH WAS

Investment Company Report

TABLED AT THE GENERAL MEETING OF THE COMPANY CONVENED FOR 9 NOVEMBER 2020 BY THE NOTICE ATTACHED TO THE CIRCULAR, IN ACCORDANCE WITH THE PROVISIONS OF THIS ARTICLE AND ARTICLE 5.3 AND THE HOLDERS OF SHARES WILL BE ENTITLED TO RECEIVE ORDINARY SHARES IN MURRAY INCOME TRUST PLC ON THE TERMS OF THE SCHEME."; AND 2.4. THE TERMS DEFINED IN THE CIRCULAR HAVE THE SAME MEANINGS IN THIS SPECIAL RESOLUTION

CMMT 03 NOV 2020: PLEASE NOTE THAT THE MEETING TYPE WAS CHANGED FROM AGM TO OGM.-IF YOU HAVE ALREADY SENT IN YOUR VOTES, PLEASE DO NOT VOTE AGAIN UNLESS YOU-DECIDE TO AMEND YOUR ORIGINAL INSTRUCTIONS. THANK YOU.

Non-Voting

Investment Company Report

MILLER/HOWARD HIGH INCOME EQUITY FUND

Security	600379101	Meeting Type	Annual
Ticker Symbol	HIE	Meeting Date	09-Nov-2020
ISIN	US6003791018	Agenda	935283921 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		
	1	James E. Hillman	For	For
	2	Roger Conrad	For	For

Investment Company Report

PERPETUAL INCOME AND GROWTH INVESTMENT TRUST PLC

Security	G7013X100	Meeting Type	Ordinary General Meeting
Ticker Symbol		Meeting Date	17-Nov-2020
ISIN	GB0006798424	Agenda	713231619 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
1	APPROVE MATTERS RELATING TO THE VOLUNTARY WINDING-UP OF THE COMPANY	Management	For	For

Investment Company Report

SCHRODER JAPAN GROWTH FUND PLC

Security	G7856X100	Meeting Type	Annual General Meeting
Ticker Symbol		Meeting Date	23-Nov-2020
ISIN	GB0008022849	Agenda	713257055 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
1	ACCEPT FINANCIAL STATEMENTS AND STATUTORY REPORTS	Management	For	For
2	APPROVE FINAL DIVIDEND	Management	For	For
3	APPROVE REMUNERATION POLICY	Management	For	For
4	APPROVE REMUNERATION REPORT	Management	For	For
5	ELECT ANGUS MACPHERSON AS DIRECTOR	Management	For	For
6	RE-ELECT ANJA BALFOUR AS DIRECTOR	Management	For	For
7	RE-ELECT ALAN GIBBS AS DIRECTOR	Management	For	For
8	RE-ELECT BELINDA RICHARDS AS DIRECTOR	Management	For	For
9	REAPPOINT DELOITTE LLP AS AUDITORS	Management	For	For
10	AUTHORISE BOARD TO FIX REMUNERATION OF AUDITORS	Management	For	For
11	AUTHORISE ISSUE OF EQUITY	Management	For	For
12	AUTHORISE ISSUE OF EQUITY WITHOUT PRE-EMPTIVE RIGHTS	Management	For	For
13	AUTHORISE MARKET PURCHASE OF ORDINARY SHARES	Management	For	For

Investment Company Report

TR EUROPEAN GROWTH TRUST PLC

Security	G9032Q157	Meeting Type	Annual General Meeting
Ticker Symbol		Meeting Date	23-Nov-2020
ISIN	GB0009066928	Agenda	713280395 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
CMMT	PLEASE NOTE THAT THIS IS AN AMENDMENT TO MEETING ID 483113 DUE TO RECEIPT OF- ADDITIONAL RESOLUTION NUMBER 16. ALL VOTES RECEIVED ON THE PREVIOUS MEETING-WILL BE DISREGARDED AND YOU WILL NEED TO REINSTRUCT ON THIS MEETING NOTICE.-THANK YOU	Non-Voting		
1	TO RECEIVE THE ANNUAL REPORT AND AUDITED FINANCIAL STATEMENTS FOR THE YEAR ENDED 30 JUNE 2020	Management	For	For
2	TO APPROVE THE COMPANY'S REMUNERATION POLICY	Management	For	For
3	TO APPROVE THE DIRECTORS' REMUNERATION REPORT FOR THE YEAR ENDED 30 JUNE 2020	Management	For	For
4	TO APPROVE A FINAL DIVIDEND OF 14.20P PER ORDINARY SHARE	Management	For	For
5	TO RE-ELECT MR CHRISTOPHER CASEY AS A DIRECTOR	Management	For	For
6	TO RE-ELECT MR DANIEL BURGESS AS A DIRECTOR	Management	For	For
7	TO RE-ELECT MS ANN GREVELIUS AS A DIRECTOR	Management	For	For
8	TO RE-ELECT MS SIMONA HEIDEMPERGHER AS A DIRECTOR	Management	For	For
9	TO RE-ELECT MR ANDREW MARTIN SMITH AS A DIRECTOR	Management	For	For
10	TO RE-ELECT MR ALEXANDER METTENHEIMER AS A DIRECTOR	Management	For	For
11	TO RE-APPOINT ERNST & YOUNG LLP AS AUDITOR TO THE COMPANY	Management	For	For
12	TO AUTHORISE THE DIRECTORS TO DETERMINE THE AUDITOR'S REMUNERATION	Management	For	For
13	TO AUTHORISE THE DIRECTORS TO ALLOT SECURITIES	Management	For	For
14	TO DISAPPLY PRE-EMPTION RIGHTS	Management	For	For
15	TO AUTHORISE THE COMPANY TO MAKE MARKET PURCHASES OF ITS OWN SHARES	Management	For	For
16	TO ALLOW GENERAL MEETINGS TO BE HELD ON 14 DAYS' NOTICE	Management	For	For

Investment Company Report

JAPAN SMALLER CAPITALIZATION FUND, INC.

Security	47109U104	Meeting Type	Annual
Ticker Symbol	JOF	Meeting Date	24-Nov-2020
ISIN	US47109U1043	Agenda	935286016 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		
	1	E. Han Kim	For	For
	2	Marcia L. MacHarg	For	For
	3	Yuichi Nomoto	Withheld	Against

Investment Company Report

MURRAY INCOME TRUST PLC

Security	G63420114	Meeting Type	Annual General Meeting
Ticker Symbol		Meeting Date	27-Nov-2020
ISIN	GB0006111123	Agenda	713179263 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
1	TO RECEIVE AND ADOPT THE DIRECTORS' AND AUDITOR'S REPORTS AND THE AUDITED FINANCIAL STATEMENTS FOR THE YEAR ENDED 30 JUNE 2020	Management	For	For
2	TO RECEIVE AND ADOPT THE DIRECTORS' REMUNERATION REPORT FOR THE YEAR ENDED 30 JUNE 2020 OTHER THAN THE DIRECTOR'S REMUNERATION POLICY	Management	For	For
3	TO APPROVE THE DIRECTORS' REMUNERATION POLICY	Management	For	For
4	TO APPROVE THE COMPANY'S DIVIDEND POLICY TO PAY FOUR QUARTERLY INTERIM DIVIDENDS PER YEAR	Management	For	For
5	TO RE-ELECT DONALD CAMERON AS A DIRECTOR OF THE COMPANY	Management	For	For
6	TO RE-ELECT STEPHANIE EASTMENT AS A DIRECTOR OF THE COMPANY	Management	For	For
7	TO RE-ELECT JEAN PARK AS A DIRECTOR OF THE COMPANY	Management	For	For
8	TO RE-ELECT NEIL ROGAN AS A DIRECTOR OF THE COMPANY	Management	For	For
9	TO RE-ELECT MERRYN SOMERSET WEBB AS A DIRECTOR OF THE COMPANY	Management	For	For
10	TO RE-ELECT PETER TAIT AS A DIRECTOR OF THE COMPANY	Management	For	For
11	TO ELECT GEORGINA FIELD AS A DIRECTOR OF THE COMPANY	Management	For	For
12	TO ELECT ALAN GILES AS A DIRECTOR OF THE COMPANY	Management	For	For
13	TO ELECT RICHARD LAING AS A DIRECTOR OF THE COMPANY	Management	For	For
14	TO RE-APPOINT PRICEWATERHOUSECOOPERS AS AUDITOR OF THE COMPANY	Management	For	For
15	TO AUTHORISE THE AUDIT COMMITTEE TO FIX THE REMUNERATION OF PRICEWATERHOUSECOOPERS AS AUDITOR OF THE COMPANY	Management	For	For
16	TO AUTHORISE THE DIRECTORS TO ALLOT SHARES	Management	For	For
17	TO APPROVE THE DISAPPLICATION OF PRE-EMPTION RIGHTS WHEN ALLOTING SHARES	Management	For	For
18	TO AUTHORISE THE COMPANY TO PURCHASE ITS OWN SHARES	Management	For	For

Investment Company Report

19 TO ADOPT NEW ARTICLES OF ASSOCIATION Management For For

Investment Company Report

VANGUARD FUNDS PLC - VANGUARD FTSE DEVELOPED EUROP

Security	G9T17W210	Meeting Type	Annual General Meeting
Ticker Symbol		Meeting Date	10-Dec-2020
ISIN	IE00BKX55S42	Agenda	713390893 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
CMMT	PLEASE NOTE THAT SHAREHOLDER DETAILS ARE REQUIRED TO VOTE AT THIS MEETING. IF-NO SHAREHOLDER DETAILS ARE PROVIDED, YOUR INSTRUCTION MAY CARRY A HEIGHTENED-RISK OF BEING REJECTED. THANK YOU	Non-Voting		
1	TO CONSIDER THE REPORT OF THE COMPANY'S DIRECTORS AND THE COMPANY'S STATUTORY FINANCIAL STATEMENTS FOR THE YEAR ENDED 30 JUNE 2020, TOGETHER WITH THE REPORT OF THE COMPANY'S AUDITORS THEREON AND REVIEW THE COMPANY'S AFFAIRS AS SET OUT IN THE REPORT OF THE COMPANY'S DIRECTORS	Management		
2	TO RE-APPOINT PRICEWATERHOUSECOOPERS AS AUDITORS OF THE COMPANY TO HOLD OFFICE UNTIL THE CONCLUSION OF THE NEXT GENERAL MEETING AT WHICH THE STATUTORY FINANCIAL STATEMENTS ARE LAID BEFORE THE COMPANY AND TO AUTHORISE THE DIRECTORS TO AGREE THE REMUNERATION OF THE AUDITORS	Management		
3	TO APPROVE THE UPDATES TO THE COMPANY'S MANDA AS SET OUT IN THE NOTICE OF THIS AGM CIRCULATED BY COMPANY	Management		
CMMT	17 NOV 2020: PLEASE NOTE THAT IF YOU HOLD CDI SHARES AND PARTICIPATE AT THIS-MEETING, YOUR GLOBAL CUSTODIAN WILL BE REQUIRED TO TRANSFER YOUR SHARES TO AN-ESCROW ACCOUNT. SHARES MAY BE BLOCKED DURING THIS TIME. IF THE VOTED POSITION-IS NOT TRANSFERRED TO THE REQUIRED ESCROW ACCOUNT IN CREST, THE SUBMITTED-VOTE TO BROADRIDGE WILL BE REJECTED BY THE REGISTRAR. BY VOTING ON THIS-MEETING YOUR CUSTODIAN MAY USE YOUR VOTE INSTRUCTION AS THE AUTHORIZATION TO-TAKE THE NECESSARY ACTION WHICH WILL INCLUDE TRANSFERRING YOUR INSTRUCTED-POSITION TO ESCROW. HOWEVER, THIS MAY DIFFER FROM CUSTODIAN TO CUSTODIAN. FOR-FULL UNDERSTANDING OF THE CUSTODY PROCESS AND WHETHER OR NOT THEY REQUIRE-SEPARATE INSTRUCTIONS FROM YOU, PLEASE CONTACT YOUR CUSTODIAN DIRECTLY	Non-Voting		

Investment Company Report

CMMT 04 DEC 2020: PLEASE NOTE THAT THIS IS A REVISION DUE TO CHANGE IN RECORD DATE-FROM 09 DEC 2020 TO 08 DEC 2020. IF YOU HAVE ALREADY SENT IN YOUR VOTES,-PLEASE DO NOT VOTE AGAIN UNLESS YOU DECIDE TO AMEND YOUR ORIGINAL-INSTRUCTIONS. THANK YOU

Non-Voting

Investment Company Report

JPMORGAN JAPANESE INVESTMENT TRUST PLC

Security	G5209J109	Meeting Type	Annual General Meeting
Ticker Symbol		Meeting Date	14-Jan-2021
ISIN	GB0001740025	Agenda	713454255 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
1	TO RECEIVE THE DIRECTORS REPORT AND FINANCIAL STATEMENTS AND THE AUDITORS REPORT FOR THE YEAR ENDED 30TH SEPTEMBER 2020	Management	For	For
2	TO APPROVE THE DIRECTORS REMUNERATION POLICY	Management	For	For
3	TO APPROVE THE DIRECTORS REMUNERATION REPORT FOR THE YEAR ENDED 30TH SEPTEMBER 2020	Management	For	For
4	TO APPROVE A FINAL DIVIDEND OF 5.1P PER SHARE	Management	For	For
5	TO REAPPOINT STEPHEN COHEN AS A DIRECTOR OF THE COMPANY	Management	For	For
6	TO REAPPOINT YOKO DOCHI AS A DIRECTOR OF THE COMPANY	Management	For	For
7	TO REAPPOINT SIR STEPHEN GOMERSALL AS A DIRECTOR OF THE COMPANY	Management	For	For
8	TO REAPPOINT SALLY MACDONALD AS A DIRECTOR OF THE COMPANY	Management	For	For
9	TO REAPPOINT GEORGE OLCOTT AS A DIRECTOR OF THE COMPANY	Management	For	For
10	TO REAPPOINT CHRISTOPHER SAMUEL AS A DIRECTOR OF THE COMPANY	Management	For	For
11	TO REAPPOINT PRICEWATERHOUSECOOPERS LLP AS THE AUDITORS OF THE COMPANY	Management	For	For
12	TO AUTHORISE THE DIRECTORS TO DETERMINE THE AUDITORS REMUNERATION	Management	For	For
13	TO GRANT AUTHORITY TO ALLOT NEW SHARES	Management	For	For
14	TO GRANT AUTHORITY TO DISAPPLY PRE-EMPTION RIGHTS ON ALLOTMENT OF RELEVANT SECURITIES	Management	For	For
15	TO GRANT AUTHORITY TO REPURCHASE THE COMPANY'S SHARES	Management	For	For
16	TO ADOPT NEW ARTICLES OF ASSOCIATION	Management	For	For

Investment Company Report

BAILLIE GIFFORD EUROPEAN GROWTH TRUST PLC

Security	G0769H106	Meeting Type	Annual General Meeting
Ticker Symbol		Meeting Date	21-Jan-2021
ISIN	GB0003295010	Agenda	713449608 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
1	TO RECEIVE AND ADOPT THE FINANCIAL STATEMENTS OF THE COMPANY FOR THE YEAR TO 30 SEPTEMBER 2020	Management	For	For
2	TO APPROVE THE DIRECTORS' REMUNERATION REPORT FOR THE YEAR TO 30 SEPTEMBER 2020	Management	For	For
3	TO DECLARE A FINAL DIVIDEND OF 3.50P PER ORDINARY SHARE	Management	For	For
4	TO RE-ELECT MICHAEL MACPHEE AS A DIRECTOR OF THE COMPANY	Management	Abstain	Against
5	TO RE-ELECT ANDREW WATKINS AS A DIRECTOR OF THE COMPANY	Management	For	For
6	TO RE-ELECT MICHAEL WOODWARD AS A DIRECTOR OF THE COMPANY	Management	For	For
7	TO ELECT EMMA DAVIES AS A DIRECTOR OF THE COMPANY	Management	For	For
8	TO RE-APPOINT BDO LLP AS INDEPENDENT AUDITOR TO THE COMPANY TO HOLD OFFICE UNTIL THE CONCLUSION OF THE NEXT MEETING AT WHICH FINANCIAL STATEMENTS ARE LAID BEFORE THE COMPANY	Management	For	For
9	TO AUTHORISE THE DIRECTORS TO DETERMINE THE REMUNERATION OF THE INDEPENDENT AUDITOR OF THE COMPANY	Management	For	For
10	TO APPROVE BY SPECIAL RESOLUTION THAT THE COMPANY BE AUTHORISED TO HOLD GENERAL MEETINGS (OTHER THAN ANNUAL GENERAL MEETINGS) ON 14 CLEAR DAYS' NOTICE	Management	For	For
11	THAT EACH OF THE ORDINARY SHARES OF 25P EACH OF THE COMPANY BE SUBDIVIDED INTO TEN ORDINARY SHARES OF 2.50P EACH	Management	For	For
12	TO APPROVE THE DIRECTORS' GENERAL AUTHORITY TO ISSUE SHARES	Management	For	For
13	TO APPROVE BY SPECIAL RESOLUTION THAT THE DIRECTORS BE AUTHORISED TO ISSUE SHARES/SELL TREASURY SHARES ON A NON-PRE-EMPTIVE BASIS	Management	For	For
14	TO APPROVE BY SPECIAL RESOLUTION THAT THE COMPANY BE AUTHORISED TO BUY BACK ITS OWN SHARES	Management	For	For

Investment Company Report

15	TO APPROVE THAT IN ACCORDANCE WITH ARTICLE 110 OF THE COMPANY'S ARTICLES OF ASSOCIATION FEES PAID TO DIRECTORS WILL NOT EXCEED IN AGGREGATE GBP 200,000 PER ANNUM	Management	For	For
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Investment Company Report

POLAR CAPITAL GLOBAL HEALTHCARE TRUST PLC

Security	G71653110	Meeting Type	Annual General Meeting
Ticker Symbol		Meeting Date	26-Jan-2021
ISIN	GB00B6832P16	Agenda	713455752 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
1	ACCEPT FINANCIAL STATEMENTS AND STATUTORY REPORTS	Management	For	For
2	APPROVE REMUNERATION IMPLEMENTATION REPORT	Management	For	For
3	RE-ELECT LISA ARNOLD AS DIRECTOR	Management	For	For
4	RE-ELECT NEAL RANSOME AS DIRECTOR	Management	For	For
5	RE-ELECT ANDREW FLEMING AS DIRECTOR	Management	For	For
6	RE-ELECT JEREMY WHITLEY AS DIRECTOR	Management	For	For
7	REAPPOINT PRICEWATERHOUSECOOPERS LLP AS AUDITORS	Management	For	For
8	AUTHORISE BOARD TO FIX REMUNERATION OF AUDITORS	Management	For	For
9	APPROVE THE COMPANY'S DIVIDEND POLICY	Management	For	For
10	AUTHORISE ISSUE OF EQUITY	Management	For	For
11	AUTHORISE ISSUE OF EQUITY WITHOUT PRE-EMPTIVE RIGHTS	Management	For	For
12	AUTHORISE MARKET PURCHASE OF ORDINARY SHARES	Management	For	For

Investment Company Report

POLAR CAPITAL GLOBAL FINANCIALS TRUST PLC

Security	G7163M102	Meeting Type	Ordinary General Meeting
Ticker Symbol		Meeting Date	01-Feb-2021
ISIN	GB00B9XQT119	Agenda	713532427 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
1	TO DISAPPLY PRE-EMPTION RIGHTS IN RESPECT OF EXISTING TREASURY SHARES	Management	For	For
CMMT	15 JAN 2021: PLEASE NOTE THAT THE MEETING TYPE CHANGED FROM EGM TO OGM. IF-YOU HAVE ALREADY SENT IN YOUR VOTES, PLEASE DO NOT VOTE AGAIN UNLESS YOU-DECIDE TO AMEND YOUR ORIGINAL INSTRUCTIONS. THANK YOU	Non-Voting		

Investment Company Report

ALLIANZGI ARTIFICIAL

Security	01883M101	Meeting Type	Special
Ticker Symbol	AIO	Meeting Date	25-Feb-2021
ISIN	US01883M1018	Agenda	935277372 - Opposition

Item	Proposal	Proposed by	Vote	For/Against Management
1.	Approval of a new Investment Advisory Agreement with Virtus Investment Advisers, Inc. by Shareholders of AllianzGI Artificial Intelligence & Technology Opportunities Fund (AIO).	Management	For	For
2.	Approval of a new Subadvisory Agreement by and among the Fund, Virtus Investment Advisers, Inc. and Allianz Global Investors U.S. LLC by Shareholders of AllianzGI Artificial Intelligence & Technology Opportunities Fund (AIO).	Management	For	For
3.	The transaction of such other business as may properly come before the Special Meeting and any adjournment(s) or postponement(s) thereof.	Management	For	For

Investment Company Report

CENTRAL SECURITIES CORPORATION

Security	155123102	Meeting Type	Annual
Ticker Symbol	CET	Meeting Date	18-Mar-2021
ISIN	US1551231020	Agenda	935334639 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		
	1 L. Price Blackford		Withheld	Against
	2 Simms C. Browning		Withheld	Against
	3 Donald G. Calder		Withheld	Against
	4 David C. Colander		Withheld	Against
	5 Jay R. Ingls		Withheld	Against
	6 Wilmot H. Kidd		Withheld	Against
	7 Wilmot H. Kidd IV		Withheld	Against
	8 David M. Poppe		Withheld	Against
2.	Ratification of the appointment of KPMG LLP as independent registered accounting firm for 2021.	Management	For	For

Investment Company Report

ASA GOLD AND PRECIOUS METALS LIMITED

Security	G3156P103	Meeting Type	Annual
Ticker Symbol	ASA	Meeting Date	19-Mar-2021
ISIN	BMG3156P1032	Agenda	935331986 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
1.1	Election of Director: Anthony Artabane	Management	For	For
1.2	Election of Director: William Donovan	Management	For	For
1.3	Election of Director: Bruce Hansen	Management	For	For
1.4	Election of Director: Mary Joan Hoene	Management	For	For
2.	To ratify and approve the appointment of Tait, Weller & Baker LLP, an independent registered public accounting firm, as the Company's independent auditors for the fiscal year ending November 30, 2021, and to authorize the Nominating, Audit and Ethics Committee of the Board of Directors to set the independent auditors' remuneration.	Management	For	For

Investment Company Report

POLAR CAPITAL GLOBAL FINANCIALS TRUST PLC

Security	G7163M102	Meeting Type	Annual General Meeting
Ticker Symbol		Meeting Date	30-Mar-2021
ISIN	GB00B9XQT119	Agenda	713646911 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
1	TO RECEIVE AND CONSIDER THE ANNUAL REPORT AND FINANCIAL STATEMENTS FOR THE YEAR ENDED 30 NOVEMBER 2020	Management	For	For
2	TO RECEIVE AND APPROVE THE DIRECTORS REMUNERATION IMPLEMENTATION REPORT FOR THE YEAR ENDED 30 NOVEMBER 2020	Management	For	For
3	TO RE-ELECT ROBERT KYPRIANOU AS A DIRECTOR OF THE COMPANY	Management	For	For
4	TO RE-ELECT JOANNE ELLIOTT AS A DIRECTOR OF THE COMPANY	Management	For	For
5	TO RE-ELECT KATRINA HART AS A DIRECTOR OF THE COMPANY	Management	For	For
6	TO RE-ELECT SIMON CORDERY AS A DIRECTOR OF THE COMPANY	Management	For	For
7	TO APPROVE THE COMPANY'S DIVIDEND POLICY	Management	For	For
8	TO RE-APPOINT PRICEWATERHOUSECOOPERS LLP AS AUDITORS TO THE COMPANY TO HOLD OFFICE UNTIL THE CONCLUSION OF THE NEXT AGM OF THE COMPANY	Management	For	For
9	TO AUTHORISE THE DIRECTORS TO DETERMINE THE REMUNERATION OF THE AUDITORS	Management	For	For
10	TO AUTHORISE THE DIRECTORS TO ALLOT ORDINARY SHARES	Management	For	For
11	TO DISAPPLY PRE-EMPTION RIGHTS	Management	For	For
12	TO AUTHORISE THE COMPANY TO BUY BACK ITS OWN ORDINARY SHARES	Management	For	For

Investment Company Report

STRATEGIC EQUITY CAPITAL PLC

Security	G8527P104	Meeting Type	Ordinary General Meeting
Ticker Symbol		Meeting Date	30-Mar-2021
ISIN	GB00B0BDCB21	Agenda	713678906 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
CMMT	PLEASE NOTE THAT THIS IS AN AMENDMENT TO MEETING ID 527494 DUE TO RECEIVED-CHANGE IN VOTING STATUS OF RES.2. ALL VOTES RECEIVED ON THE PREVIOUS MEETING-WILL BE DISREGARDED AND YOU WILL NEED TO REINSTRUCT ON THIS MEETING NOTICE.-THANK YOU.	Non-Voting		
1	THAT THE COMPANY SHOULD CONTINUE AS AN INVESTMENT TRUST UNTIL THE CONCLUSION OF THE NEXT ANNUAL GENERAL MEETING OF THE COMPANY	Management	For	For
2	PLEASE NOTE THAT THIS RESOLUTION IS A SHAREHOLDER PROPOSAL: THAT, IF RESOLUTION 1 IS NOT PASSED, THEN WITHIN EIGHT WEEKS THE BOARD OF THE COMPANY SHALL PUT FORWARD PROPOSALS TO ENABLE THOSE SHAREHOLDERS WHO WANT TO REALISE THEIR INVESTMENT IN THE COMPANY FOR CASH AT CLOSE TO NET ASSET VALUE OR (AT THE OPTION OF EACH SHAREHOLDER) TO EXCHANGE THEIR SHARES FOR SHARES IN ANOTHER INVESTMENT VEHICLE	Shareholder	Against	For

Investment Company Report

ADAMS NATURAL RESOURCES FUND, INC.

Security	00548F105	Meeting Type	Annual
Ticker Symbol	PEO	Meeting Date	15-Apr-2021
ISIN	US00548F1057	Agenda	935337267 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		
	1 Enrique R. Arzac		Withheld	Against
	2 Kenneth J. Dale		Withheld	Against
	3 Frederic A. Escherich		Withheld	Against
	4 Roger W. Gale		Withheld	Against
	5 Mary C. Jammet		Withheld	Against
	6 Lauriann C. Kloppenburg		Withheld	Against
	7 Kathleen T. McGahran		Withheld	Against
	8 Mark E. Stoeckle		Withheld	Against
2.	Ratification of the selection of PricewaterhouseCoopers LLP as independent public auditors.	Management	For	For

Investment Company Report

ADAMS DIVERSIFIED EQUITY FUND, INC.

Security	006212104	Meeting Type	Annual
Ticker Symbol	ADX	Meeting Date	15-Apr-2021
ISIN	US0062121043	Agenda	935338194 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		
	1 Enrique R. Arzac		Withheld	Against
	2 Kenneth J. Dale		Withheld	Against
	3 Frederic A. Escherich		Withheld	Against
	4 Roger W. Gale		Withheld	Against
	5 Mary C. Jammet		Withheld	Against
	6 Lauriann C. Kloppenburg		Withheld	Against
	7 Kathleen T. McGahran		Withheld	Against
	8 Mark E. Stoeckle		Withheld	Against
2.	Ratification of the selection of PricewaterhouseCoopers LLP as independent public auditors.	Management	For	For

Investment Company Report

FIRST TRUST DYNAMIC EUROPE

Security	33740D107	Meeting Type	Annual
Ticker Symbol	FDEU	Meeting Date	26-Apr-2021
ISIN	US33740D1072	Agenda	935361636 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		
	1	Richard E. Erickson	Withheld	Against
	2	Thomas R. Kadlec	Withheld	Against

Investment Company Report

GROUPE BRUXELLES LAMBERT SA

Security	B4746J115	Meeting Type	Ordinary General Meeting
Ticker Symbol		Meeting Date	27-Apr-2021
ISIN	BE0003797140	Agenda	713749123 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
CMMT	MARKET RULES REQUIRE DISCLOSURE OF BENEFICIAL OWNER INFORMATION FOR ALL VOTED-ACCOUNTS. IF AN ACCOUNT HAS MULTIPLE BENEFICIAL OWNERS, YOU WILL NEED TO-PROVIDE THE BREAKDOWN OF EACH BENEFICIAL OWNER NAME, ADDRESS AND SHARE-POSITION TO YOUR CLIENT SERVICE REPRESENTATIVE. THIS INFORMATION IS REQUIRED-IN ORDER FOR YOUR VOTE TO BE LODGED	Non-Voting		
CMMT	IMPORTANT MARKET PROCESSING REQUIREMENT: A BENEFICIAL OWNER SIGNED POWER OF- ATTORNEY (POA) MAY BE REQUIRED IN ORDER TO LODGE AND EXECUTE YOUR VOTING- INSTRUCTIONS IN THIS MARKET. ABSENCE OF A POA, MAY CAUSE YOUR INSTRUCTIONS TO-BE REJECTED. IF YOU HAVE ANY QUESTIONS, PLEASE CONTACT YOUR CLIENT SERVICE- REPRESENTATIVE	Non-Voting		
CMMT	PLEASE NOTE THAT SHAREHOLDER DETAILS ARE REQUIRED TO VOTE AT THIS MEETING. IF-NO SHAREHOLDER DETAILS ARE PROVIDED, YOUR INSTRUCTION MAY CARRY A HEIGHTENED-RISK OF BEING REJECTED. THANK YOU	Non-Voting		
1	MANAGEMENT REPORT OF THE BOARD OF DIRECTORS AND REPORTS OF THE STATUTORY- AUDITOR ON THE 2020 FINANCIAL YEAR	Non-Voting		
2.1	FINANCIAL STATEMENTS FOR THE YEAR ENDED DECEMBER 31, 2020: PRESENTATION OF-THE CONSOLIDATED ACCOUNTS FOR THE YEAR ENDED DECEMBER 31, 2020. THIS ITEM-DOES NOT REQUIRE A VOTE	Non-Voting		
2.2	FINANCIAL STATEMENTS FOR THE YEAR ENDED DECEMBER 31, 2020: APPROVAL OF ANNUAL ACCOUNTS FOR THE YEAR ENDED DECEMBER 31, 2020	Management	For	For
3	DISCHARGE OF THE DIRECTORS: PROPOSAL FOR THE DISCHARGE TO BE GRANTED TO THE DIRECTORS FOR DUTIES PERFORMED DURING THE YEAR ENDED DECEMBER 31, 2020	Management	For	For
4	DISCHARGE OF THE STATUTORY AUDITOR: PROPOSAL FOR THE DISCHARGE TO BE GRANTED TO THE STATUTORY AUDITOR FOR DUTIES PERFORMED DURING THE YEAR ENDED DECEMBER 31, 2020	Management	For	For

Investment Company Report

5.1	RESIGNATION AND APPOINTMENT OF DIRECTOR: ACKNOWLEDGMENT OF THE RESIGNATION OF- GERARD LAMARCHE AS DIRECTOR AT THE CONCLUSION OF THIS GENERAL SHAREHOLDERS'- MEETING	Non-Voting		
5.2	RESIGNATION AND APPOINTMENT OF DIRECTOR: APPOINTMENT OF A DIRECTOR: PROPOSAL TO APPOINT JACQUES VEYRAT AS DIRECTOR FOR A FOUR-YEAR TERM AND TO ACKNOWLEDGE THE INDEPENDENCE OF JACQUES VEYRAT WHO MEETS THE CRITERIA LISTED IN ARTICLE 7:87, SECTION1 OF THE CODE ON COMPANIES AND ASSOCIATIONS AND INCLUDED IN THE GBL CORPORATE GOVERNANCE CHARTER	Management	For	For
5.3.1	RESIGNATION AND APPOINTMENT OF DIRECTOR: RENEWAL OF DIRECTORS' TERM OF OFFICE: PROPOSAL TO RE-ELECT FOR A FOUR-YEAR TERM, IN HIS CAPACITY AS DIRECTOR, CLAUDE GENEREUX WHOSE CURRENT TERM OF OFFICE EXPIRES AT THE CONCLUSION OF THIS GENERAL SHAREHOLDERS' MEETING	Management	For	For
5.3.2	RESIGNATION AND APPOINTMENT OF DIRECTOR: RENEWAL OF DIRECTORS' TERM OF OFFICE: PROPOSAL TO RE-ELECT FOR A FOUR-YEAR TERM, IN HIS CAPACITY AS DIRECTOR, JOCELYN LEFEBVRE WHOSE CURRENT TERM OF OFFICE EXPIRES AT THE CONCLUSION OF THIS GENERAL SHAREHOLDERS' MEETING	Management	For	For
5.3.3	RESIGNATION AND APPOINTMENT OF DIRECTOR: RENEWAL OF DIRECTORS' TERM OF OFFICE: PROPOSAL TO RE-ELECT FOR A FOUR-YEAR TERM, IN HER CAPACITY AS DIRECTOR, AGNES TOURAIN WHOSE CURRENT TERM OF OFFICE EXPIRES AT THE CONCLUSION OF THIS GENERAL SHAREHOLDERS' MEETING AND TO ACKNOWLEDGE THE INDEPENDENCE OF AGNES TOURAIN WHO MEETS THE CRITERIA MENTIONED IN ARTICLE 7:87, SECTION1 OF THE CODE ON COMPANIES AND ASSOCIATIONS AND INCLUDED IN THE GBL CORPORATE GOVERNANCE CHARTER	Management	For	For
6.1	RESIGNATION AND APPOINTMENT OF THE STATUTORY AUDITOR: ACKNOWLEDGMENT OF THE-RESIGNATION, AT THE CONCLUSION OF THIS GENERAL SHAREHOLDERS' MEETING, OF-DELOITTE REVISEURS D'ENTREPRISES SCRL, REPRESENTED BY CORINE MAGNIN AS-STATUTORY AUDITOR	Non-Voting		

Investment Company Report

6.2	RESIGNATION AND APPOINTMENT OF THE STATUTORY AUDITOR: ON THE RECOMMENDATION OF THE AUDIT COMMITTEE, PROPOSAL TO APPOINT AS STATUTORY AUDITOR PRICEWATERHOUSECOOPERS BEDRIJFSREVISOREN-REVISEURS D'ENTREPRISES FOR A THREE-YEAR TERM AND TO SET ITS FEES AT EUR 91,000 A YEAR EXCLUSIVE OF VAT. FOR INFORMATION, IT IS STATED THAT THE STATUTORY AUDITOR WILL BE REPRESENTED BY ALEXIS VAN BAVEL	Management	For	For
7	REMUNERATION REPORT: PROPOSAL TO APPROVE THE BOARD OF DIRECTORS' REMUNERATION REPORT FOR THE 2020 FINANCIAL YEAR	Management	For	For
8.1	LONG TERM INCENTIVE: PROPOSAL TO CONFIRM AN ADDITIONAL ALLOCATION OF OPTIONS TO THE CEO, MADE IN DECEMBER 2020. THESE OPTIONS HAVE THE SAME CHARACTERISTICS AS THE OPTIONS ALLOCATED TO HIM IN THE FIRST HALF OF 2020. THESE CHARACTERISTICS ARE DESCRIBED IN THE REMUNERATION REPORT. THE UNDERLYING VALUE OF THE ASSETS OF THE SUBSIDIARY COVERED BY THE OPTIONS GRANTED TO THE CEO IN DECEMBER 2020 AMOUNTS TO EUR 4.32 MILLION. IT IS SPECIFIED THAT THE POSSIBILITY FOR THE CEO TO EXERCISE THESE OPTIONS HAS, AMONG OTHER CONDITIONS, BEEN SUBJECT TO THE APPROVAL OF THIS GENERAL MEETING. AN ADDITIONAL GRANT OF OPTIONS WAS ALSO MADE IN DECEMBER 2020 IN FAVOR OF STAFF MEMBERS	Management	For	For
8.2	LONG TERM INCENTIVE: TO THE EXTENT NECESSARY, PROPOSAL TO APPROVE THE STOCK OPTION PLAN FOR 2021 UNDER WHICH THE CEO MAY RECEIVE IN 2021 OPTIONS RELATING TO EXISTING SHARES OF A SUBSIDIARY OF THE COMPANY. THE UNDERLYING VALUE OF THE ASSETS OF THE SUBSIDIARY COVERED BY THE OPTIONS THAT MAY BE GRANTED TO THE CEO IN 2021 AMOUNTS TO EUR 4.32 MILLION. THESE OPTIONS WILL BE SUBJECT TO THE EXERCISE CONDITIONS SPECIFIED IN THE REMUNERATION POLICY. THE 2021 STOCK OPTION PLAN WILL ALSO BENEFIT STAFF MEMBERS	Management	For	For
8.3	LONG TERM INCENTIVE: REPORT OF THE BOARD OF DIRECTORS DRAWN UP PURSUANT TO ARTICLE 7:227 OF THE CODE ON COMPANIES AND ASSOCIATIONS WITH RESPECT TO THE GUARANTEES REFERRED TO IN THE FOLLOWING RESOLUTION PROPOSAL	Management	For	For

Investment Company Report

8.4	LONG TERM INCENTIVE: PURSUANT TO ARTICLE 7:227 OF THE CODE ON COMPANIES AND ASSOCIATIONS, TO THE EXTENT NECESSARY, PROPOSAL TO APPROVE THE GRANT BY GBL OF GUARANTEES TO ONE OR SEVERAL BANKS WITH RESPECT TO THE CREDITS GRANTED BY THAT OR THESE BANKS TO ONE OR SEVERAL SUBSIDIARIES OF GBL, PERMITTING THE LATTER TO ACQUIRE GBL SHARES IN THE FRAMEWORK OF THE AFOREMENTIONED PLANS	Management	For	For
9	MISCELLANEOUS	Non-Voting		
CMMT	01 APR 2021: INTERMEDIARY CLIENTS ONLY - PLEASE NOTE THAT IF YOU ARE-CLASSIFIED AS AN INTERMEDIARY CLIENT UNDER THE SHAREHOLDER RIGHTS DIRECTIVE-II, YOU SHOULD BE PROVIDING THE UNDERLYING SHAREHOLDER INFORMATION AT THE-VOTE INSTRUCTION LEVEL. IF YOU ARE UNSURE ON HOW TO PROVIDE THIS LEVEL OF-DATA TO BROADRIDGE OUTSIDE OF PROXYEDGE, PLEASE SPEAK TO YOUR DEDICATED-CLIENT SERVICE REPRESENTATIVE FOR ASSISTANCE	Non-Voting		
CMMT	01 APR 2021: PLEASE NOTE THAT THE MEETING REVISED DUE TO ADDITION OF COMMENT.-IF YOU HAVE ALREADY SENT IN YOUR VOTES, PLEASE DO NOT VOTE AGAIN UNLESS YOU-DECIDE TO AMEND YOUR ORIGINAL INSTRUCTIONS. THANK YOU.	Non-Voting		

Investment Company Report

AVI JAPAN OPPORTUNITY TRUST PLC

Security	G0R1NH109	Meeting Type	Annual General Meeting
Ticker Symbol		Meeting Date	28-Apr-2021
ISIN	GB00BD6H5D36	Agenda	713724006 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
1	TO RECEIVE THE DIRECTORS REPORT, AUDITOR'S REPORT AND THE AUDITED FINANCIAL STATEMENTS FOR THE PERIOD ENDED 31 DECEMBER 2020	Management	For	For
2	TO APPROVE A FINAL ORDINARY DIVIDEND	Management	For	For
3	TO RE-ELECT MR NORMAN CRIGHTON AS A DIRECTOR OF THE COMPANY	Management	For	For
4	TO RE-ELECT MR YOSHI NISHIO AS A DIRECTOR OF THE COMPANY	Management	For	For
5	TO RE-ELECT MS MARGARET STEPHENS AS A DIRECTOR OF THE COMPANY	Management	For	For
6	TO RE-ELECT MS EKATERINA THOMSON AS A DIRECTOR OF THE COMPANY	Management	For	For
7	TO RE-APPOINT BDO LLP AS AUDITOR TO THE COMPANY	Management	For	For
8	TO AUTHORISE THE DIRECTORS TO DETERMINE THE AUDITOR'S REMUNERATION	Management	For	For
9	TO RECEIVE AND APPROVE THE DIRECTORS REMUNERATION REPORT (EXCLUDING THE DIRECTORS REMUNERATION POLICY)	Management	For	For
10	THAT THE DIRECTORS BE AUTHORISED TO ALLOT EQUITY SECURITIES	Management	For	For
11	THAT: SUBJECT TO THE PASSING OF RESOLUTION 10, THE DIRECTORS BE EMPOWERED TO ALLOT ORDINARY SHARES FOR CASH AND DISAPPLY PRE-EMPTION RIGHTS	Management	For	For
12	THAT: SUBJECT TO THE PASSING OF RES 10 AND IN ADDITION TO RES 11, THE DIRECTORS BE EMPOWERED TO ALLOT ORDINARY SHARES FOR CASH AND DISAPPLY PRE-EMPTION RIGHTS	Management	For	For
13	THAT THE COMPANY BE AUTHORISED TO MAKE ONE OR MORE MARKET PURCHASES OF ORDINARY SHARES	Management	For	For
14	THAT A GENERAL MEETING, OTHER THAN AN ANNUAL GENERAL MEETING, MAY BE CALLED ON NOT LESS THAN 14 CLEAR DAYS NOTICE	Management	For	For

Investment Company Report

WITAN INVESTMENT TRUST PLC

Security	G9724U102	Meeting Type	Annual General Meeting
Ticker Symbol		Meeting Date	28-Apr-2021
ISIN	GB00BJTRSD38	Agenda	713732243 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
1	TO RECEIVE THE ANNUAL REPORT AND AUDITED FINANCIAL STATEMENTS FOR THE YEAR ENDED 31 DECEMBER 2020	Management	For	For
2	TO RECEIVE AND APPROVE THE DIRECTORS' REMUNERATION REPORT FOR THE YEAR ENDED 31 DECEMBER 2020, OTHER THAN THE PART CONTAINING THE DIRECTORS' REMUNERATION POLICY	Management	For	For
3	TO ELECT MRS R A BEAGLES AS A DIRECTOR OF THE COMPANY	Management	For	For
4	TO RE-ELECT MR A J S ROSS AS A DIRECTOR OF THE COMPANY	Management	For	For
5	TO RE-ELECT MR A L C BELL AS A DIRECTOR OF THE COMPANY	Management	For	For
6	TO RE-ELECT MRS G M BOYLE AS A DIRECTOR OF THE COMPANY	Management	For	For
7	TO RE-ELECT MS S E G A NEUBERT AS A DIRECTOR OF THE COMPANY	Management	For	For
8	TO RE-ELECT MR J S PERRY AS A DIRECTOR OF THE COMPANY	Management	For	For
9	TO RE-ELECT MR B C ROGOFF AS A DIRECTOR OF THE COMPANY	Management	For	For
10	TO RE-ELECT MR P T YATES AS A DIRECTOR OF THE COMPANY	Management	For	For
11	TO RE-APPOINT GRANT THORNTON UK LLP AS STATUTORY AUDITOR TO THE COMPANY	Management	For	For
12	TO AUTHORISE THE AUDIT COMMITTEE TO DETERMINE THE REMUNERATION OF THE STATUTORY AUDITOR	Management	For	For
13	TO APPROVE THE DIVIDEND POLICY OF THE COMPANY AS SET OUT IN THE ANNUAL REPORT FOR THE YEAR ENDED 31 DECEMBER 2020	Management	For	For
14	THAT IN SUBSTITUTION OF ALL EXISTING AUTHORITIES THE DIRECTORS BE AND ARE HEREBY GENERALLY AND UNCONDITIONALLY AUTHORISED IN ACCORDANCE WITH SECTION 551 OF THE COMPANIES ACT 2006 (THE 'ACT') TO EXERCISE ALL POWERS OF THE COMPANY TO ALLOT RELEVANT SECURITIES (WITHIN THE MEANING OF SECTION 551 OF THE ACT) UP TO A MAXIMUM AGGREGATE NOMINAL AMOUNT OF GBP 3,924,999 REPRESENTING 10% OF THE ISSUED	Management	For	For

ORDINARY SHARE CAPITAL (EXCLUDING TREASURY SHARES) AT 18 MARCH 2021 (BEING THE NEAREST PRACTICABLE DATE PRIOR TO THE PUBLICATION OF THIS NOTICE) OR, IF LOWER, THE NUMBER REPRESENTING 10% OF THE ISSUED ORDINARY SHARE CAPITAL OF THE COMPANY AT THE DATE OF THE MEETING AT WHICH THIS RESOLUTION IS PASSED PROVIDED THAT THIS AUTHORITY SHALL EXPIRE AT THE CONCLUSION OF THE ANNUAL GENERAL MEETING OF THE COMPANY TO BE HELD IN 2022 OR 15 MONTHS FROM THE DATE OF PASSING THIS RESOLUTION, WHICHEVER IS THE EARLIER, UNLESS PREVIOUSLY REVOKED, VARIED OR RENEWED BY THE COMPANY IN GENERAL MEETING AND PROVIDED THAT THE COMPANY SHALL BE ENTITLED TO MAKE, PRIOR TO THE EXPIRY OF SUCH AUTHORITY, AN OFFER OR AGREEMENT WHICH WOULD OR MIGHT REQUIRE RELEVANT SECURITIES TO BE ALLOTTED AFTER SUCH EXPIRY AND THE DIRECTORS MAY ALLOT RELEVANT SECURITIES PURSUANT TO SUCH OFFER OR AGREEMENT AS IF THE AUTHORITY CONFERRED HEREBY HAD NOT EXPIRED

15	<p>THAT IN SUBSTITUTION OF ALL EXISTING POWERS THE DIRECTORS BE AND ARE HEREBY GENERALLY EMPOWERED PURSUANT TO SECTION 570 AND SECTION 573 OF THE COMPANIES ACT 2006 (THE 'ACT') TO ALLOT EQUITY SECURITIES (WITHIN THE MEANING OF SECTION 560 OF THE ACT) FOR CASH EITHER PURSUANT TO THE AUTHORITY CONFERRED ON THEM BY RESOLUTION 14 SET OUT IN THIS NOTICE OR OTHERWISE, OR BY WAY OF A SALE OF TREASURY SHARES (AS DEFINED IN SECTION 724 OF THE ACT ('TREASURY SHARES')), AS IF SECTION 561(1) OF THE ACT DID NOT APPLY TO ANY SUCH ALLOTMENT, PROVIDED THAT: (A) THIS POWER SHALL BE LIMITED TO THE ALLOTMENT OF EQUITY SECURITIES UP TO A MAXIMUM AGGREGATE NOMINAL VALUE OF GBP 3,924,999, REPRESENTING 10% OF THE ISSUED ORDINARY SHARE CAPITAL OF THE COMPANY AS AT 18 MARCH 2021 (BEING THE NEAREST PRACTICABLE DATE PRIOR TO THE PUBLICATION OF THIS NOTICE) OR, IF LOWER, THE NUMBER REPRESENTING 10% OF THE ISSUED ORDINARY SHARE CAPITAL OF THE COMPANY AT THE DATE OF THE MEETING AT WHICH THIS RESOLUTION IS PASSED; (B) NO ALLOTMENT OF EQUITY SECURITIES SHALL BE MADE UNDER THIS POWER WHICH WOULD RESULT IN ORDINARY SHARES IN THE CAPITAL OF THE COMPANY BEING ISSUED AT A PRICE WHICH IS LESS THAN THE HIGHER OF THE COMPANY'S CUM OR EX INCOME NET ASSET VALUE PER ORDINARY SHARE AS AT THE LATEST PRACTICABLE DATE BEFORE SUCH ALLOTMENT OF EQUITY SECURITIES AS DETERMINED BY THE</p>	Management	For	For
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DIRECTORS IN THEIR REASONABLE DISCRETION; AND SUCH POWER SHALL EXPIRE AT THE CONCLUSION OF THE ANNUAL GENERAL MEETING OF THE COMPANY TO BE HELD IN 2022 OR 15 MONTHS FROM THE DATE OF PASSING THIS RESOLUTION, WHICHEVER IS EARLIER, UNLESS PREVIOUSLY REVOKED, VARIED OR RENEWED BY THE COMPANY IN GENERAL MEETING; AND (C) THE COMPANY SHALL BE ENTITLED TO MAKE, PRIOR TO THE EXPIRY OF SUCH AUTHORITY, AN OFFER OR AGREEMENT WHICH WOULD OR MIGHT OTHERWISE REQUIRE EQUITY SECURITIES TO BE ALLOTTED AFTER SUCH EXPIRY AND THE DIRECTORS MAY ALLOT EQUITY SECURITIES PURSUANT TO SUCH OFFER OR AGREEMENT AS IF THE POWER CONFERRED HEREBY HAD NOT EXPIRED

16	<p>THAT THE COMPANY BE AND IS HEREBY GENERALLY AND UNCONDITIONALLY AUTHORISED IN ACCORDANCE WITH SECTION 701 OF THE COMPANIES ACT 2006 (THE 'ACT') TO MAKE MARKET PURCHASES (WITHIN THE MEANING OF SECTION 693 OF THE ACT) OF ITS ORDINARY SHARES, PROVIDED THAT: (A) THE MAXIMUM AGGREGATE NUMBER OF ORDINARY SHARES HEREBY AUTHORISED TO BE PURCHASED IS 117,671,479 (REPRESENTING APPROXIMATELY 14.99% OF THE ISSUED ORDINARY SHARE CAPITAL OF THE COMPANY AS AT 18 MARCH 2021, BEING THE NEAREST PRACTICABLE DATE PRIOR TO THE PUBLICATION OF THIS NOTICE) OR, IF CHANGED THE NUMBER REPRESENTING 14.99% OF THE ISSUED ORDINARY SHARE CAPITAL OF THE COMPANY AS AT THE DATE OF THE PASSING OF THIS RESOLUTION; (B) THE MINIMUM PRICE (EXCLUSIVE OF EXPENSES) WHICH MAY BE PAID FOR EACH ORDINARY SHARE IS 5P; (C) THE MAXIMUM PRICE (EXCLUSIVE OF EXPENSES) WHICH MAY BE PAID FOR AN ORDINARY SHARE IS THE HIGHER OF (I) AN AMOUNT EQUAL TO 105% OF THE AVERAGE MIDDLE MARKET QUOTATIONS FOR AN ORDINARY SHARE TAKEN FROM THE LONDON STOCK EXCHANGE DAILY OFFICIAL LIST FOR THE FIVE BUSINESS DAYS IMMEDIATELY PRECEDING THE DAY ON WHICH THE ORDINARY SHARE IS PURCHASED AND (II) THE HIGHER OF THE PRICE OF THE LAST INDEPENDENT TRADE AND THE HIGHEST CURRENT INDEPENDENT BID ON THE LONDON STOCK EXCHANGE WHEN THE PURCHASE IS CARRIED OUT, OR SUCH OTHER AMOUNT AS MAY BE SPECIFIED BY THE FINANCIAL CONDUCT AUTHORITY FROM TIME TO TIME; (D) THE AUTHORITY HEREBY CONFERRED WILL EXPIRE AT THE CONCLUSION OF THE ANNUAL GENERAL MEETING OF THE COMPANY TO BE HELD IN 2022, OR, IF EARLIER, ON THE EXPIRY OF 18 MONTHS FROM THE PASSING OF THIS RESOLUTION, UNLESS</p>	Management	For	For
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SUCH AUTHORITY IS RENEWED PRIOR TO SUCH TIME; AND (E) THE COMPANY MAY MAKE A CONTRACT TO PURCHASE ORDINARY SHARES UNDER THE AUTHORITY HEREBY CONFERRED PRIOR TO THE EXPIRY OF SUCH AUTHORITY WHICH WILL OR MAY BE EXECUTED WHOLLY OR PARTLY AFTER THE EXPIRATION OF SUCH AUTHORITY AND MAY MAKE A PURCHASE OF ORDINARY SHARES PURSUANT TO ANY SUCH CONTRACT; PROVIDED THAT ALL ORDINARY SHARES PURCHASED PURSUANT TO THE SAID AUTHORITY SHALL BE (I) CANCELLED IMMEDIATELY UPON COMPLETION OF THE PURCHASE; OR (II) HELD, SOLD, TRANSFERRED OR OTHERWISE DEALT WITH AS TREASURY SHARES IN ACCORDANCE WITH THE PROVISIONS OF THE ACT

17	<p>THAT THE COMPANY BE AND IS HEREBY GENERALLY AND UNCONDITIONALLY AUTHORISED IN ACCORDANCE WITH SECTION 701 OF THE COMPANIES ACT 2006 (THE 'ACT') TO MAKE MARKET PURCHASES (WITHIN THE MEANING OF SECTION 693 OF THE ACT) OF 3.4 PER CENT CUMULATIVE PREFERENCE SHARES OF GBP 1 EACH IN THE CAPITAL OF THE COMPANY ('3.4 PER CENT CUMULATIVE PREFERENCE SHARES') AND 2.7 PER CENT CUMULATIVE PREFERENCE SHARES OF GBP 1 EACH IN THE CAPITAL OF THE COMPANY ('2.7 PER CENT CUMULATIVE PREFERENCE SHARES', TOGETHER WITH THE 3.4 PER CENT CUMULATIVE PREFERENCE SHARES, THE 'PREFERENCE SHARES'), PROVIDED THAT: (A) THE MAXIMUM AGGREGATE NUMBER OF PREFERENCE SHARES HEREBY AUTHORISED TO BE PURCHASED SHALL BE THE ENTIRE ISSUED CAPITAL AMOUNT OF THE PREFERENCE SHARES AS AT 18 MARCH 2021, BEING THE NEAREST PRACTICABLE DATE PRIOR TO THE PUBLICATION OF THIS NOTICE, BEING (I) 2,055,000 3.4 PER CENT CUMULATIVE PREFERENCE SHARES AND (II) 500,000 2.7 PER CENT CUMULATIVE PREFERENCE SHARES; (B) THE MINIMUM PRICE (EXCLUSIVE OF EXPENSES) WHICH MAY BE PAID FOR EACH PREFERENCE SHARE IS 1P; (C) THE MAXIMUM PRICE (EXCLUSIVE OF EXPENSES) WHICH MAY BE PAID FOR A PREFERENCE SHARE IS THE HIGHER OF (I) AN AMOUNT EQUAL TO 105% OF THE AVERAGE MIDDLE MARKET QUOTATIONS FOR A PREFERENCE SHARE TAKEN FROM THE LONDON STOCK EXCHANGE DAILY OFFICIAL LIST FOR THE FIVE BUSINESS DAYS IMMEDIATELY PRECEDING THE DAY ON WHICH THE PREFERENCE SHARE IS PURCHASED AND (II) THE HIGHER OF THE PRICE OF THE LAST INDEPENDENT TRADE AND THE HIGHEST CURRENT INDEPENDENT BID ON THE LONDON STOCK EXCHANGE WHEN THE PURCHASE IS CARRIED OUT; (D) THE AUTHORITY HEREBY CONFERRED WILL EXPIRE AT THE CONCLUSION OF</p>	Management	For	For
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Investment Company Report

THE ANNUAL GENERAL MEETING OF THE COMPANY TO BE HELD IN 2022 OR, IF EARLIER, ON THE EXPIRY OF 18 MONTHS FROM THE PASSING OF THIS RESOLUTION, UNLESS SUCH AUTHORITY IS RENEWED PRIOR TO SUCH TIME; AND (E) THE COMPANY MAY MAKE A CONTRACT TO PURCHASE PREFERENCE SHARES UNDER THE AUTHORITY HEREBY CONFERRED PRIOR TO THE EXPIRY OF SUCH AUTHORITY WHICH WILL OR MAY BE EXECUTED WHOLLY OR PARTLY AFTER THE EXPIRATION OF SUCH AUTHORITY AND MAY MAKE A PURCHASE OF PREFERENCE SHARES PURSUANT TO ANY SUCH CONTRACT; PROVIDED THAT ALL PREFERENCE SHARES PURCHASED PURSUANT TO THE SAID AUTHORITY SHALL BE CANCELLED IMMEDIATELY UPON COMPLETION OF THE PURCHASE

18	THAT ANY GENERAL MEETING OF THIS COMPANY (OTHER THAN THE ANNUAL GENERAL MEETING) MAY BE CALLED ON NOT LESS THAN 14 CLEAR DAYS' NOTICE, SUCH RESOLUTION TO EXPIRE AT THE CONCLUSION OF THE NEXT ANNUAL GENERAL MEETING OF THE COMPANY	Management	For	For
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Investment Company Report

PERSHING SQUARE HOLDINGS LTD

Security	G7016V101	Meeting Type	Annual General Meeting
Ticker Symbol		Meeting Date	28-Apr-2021
ISIN	GG00BPFJTF46	Agenda	713746812 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
1	ACCEPT FINANCIAL STATEMENTS AND STATUTORY REPORTS	Management	For	For
2	TO RE-APPOINT ERNST & YOUNG LLP, WHO HAVE INDICATED THEIR WILLINGNESS TO CONTINUE IN OFFICE, AS AUDITOR OF THE COMPANY (THE AUDITOR) FROM THE CONCLUSION OF THIS AGM UNTIL THE CONCLUSION OF THE NEXT ANNUAL GENERAL MEETING OF THE COMPANY	Management	For	For
3	AUTHORISE BOARD TO FIX REMUNERATION OF AUDITORS	Management	For	For
4	RE-ELECT NICHOLAS BOTTA AS DIRECTOR	Management	Against	Against
5	RE-ELECT ANNE FARLOW AS DIRECTOR	Management	Against	Against
6	RE-ELECT BRONWYN CURTIS AS DIRECTOR	Management	Against	Against
7	ELECT ANDREW HENTON AS DIRECTOR	Management	For	For
8	ELECT TOPE LAWANI AS DIRECTOR	Management	For	For
9	ELECT RUPERT MORLEY AS DIRECTOR	Management	For	For
10	ELECT TRACY PALANDJIAN AS DIRECTOR	Management	For	For
11	AUTHORISE MARKET PURCHASE OF PUBLIC SHARES	Management	For	For
12	AUTHORISE ISSUE OF EQUITY WITHOUT PRE-EMPTIVE RIGHTS	Management	For	For
CMMT	07 APR 2021: PLEASE NOTE THAT THIS IS A REVISION DUE TO MODIFICATION OF TEXT-OF RESOLUTION 2. IF YOU HAVE ALREADY SENT IN YOUR VOTES, PLEASE DO NOT VOTE-AGAIN UNLESS YOU DECIDE TO AMEND YOUR ORIGINAL INSTRUCTIONS. THANK YOU	Non-Voting		

Investment Company Report

ALLIANZ TECHNOLOGY TRUST PLC

Security	G0258S100	Meeting Type	Annual General Meeting
Ticker Symbol		Meeting Date	29-Apr-2021
ISIN	GB0003390720	Agenda	713718356 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
1	TO RECEIVE AND ADOPT THE AUDITED ACCOUNTS AND THE REPORT OF THE DIRECTORS FOR THE YEAR ENDED 31 DECEMBER 2020	Management		
2	TO RE-ELECT ROBERT JEENS AS A DIRECTOR OF THE COMPANY	Management		
3	TO RE-ELECT HUMPHREY VAN DER KLUGT AS A DIRECTOR OF THE COMPANY	Management		
4	TO RE-ELECT ELISABETH SCOTT AS A DIRECTOR OF THE COMPANY	Management		
5	TO RE-ELECT NEETA PATEL AS A DIRECTOR OF THE COMPANY	Management		
6	TO RE-APPOINT GRANT THORNTON UK LLP AS THE AUDITOR OF THE COMPANY	Management		
7	TO AUTHORISE THE DIRECTORS TO DETERMINE THE REMUNERATION OF THE AUDITORS	Management		
8	TO APPROVE THE DIRECTORS' REMUNERATION POLICY REPORT	Management		
9	TO RECEIVE AND APPROVE THE DIRECTOR'S REMUNERATION IMPLEMENTATION REPORT	Management		
10	CONTINUATION VOTE	Management		
11	SHARE SPLIT	Management		
12	INCREASE OF DIRECTOR'S AGGREGATE OF FEES	Management		
13	ALLOTMENT OF SHARES - DIRECTORS' AUTHORITY TO ALLOT NEW SHARES OF THE COMPANY	Management		
14	DISAPPLICATION OF PRE-EMPTION RIGHTS - RENEWAL OF THE AUTHORITY TO ALLOT UP TO 10% OF THE ORDINARY SHARES OF COMPANY FOR CASH WITHOUT FIRST OFFERING THEM TO EXISTING SHAREHOLDERS	Management		
15	DISAPPLICATION OF PRE-EMPTION RIGHTS FOR TREASURY SHARES - RENEWAL OF AUTHORITY TO ALLOT THE ORDINARY SHARES OF THE COMPANY WHICH ARE HELD BY THE COMPANY AS TREASURY SHARES FOR CASH WITHOUT FIRST OFFERING THEM TO EXISTING SHAREHOLDERS	Management		
16	AUTHORITY TO BUY BACK SHARES - PROPOSAL THAT THE COMPANY TAKES POWERS TO BUY BACK UP TO 14.99% OF THE COMPANY'S ISSUED ORDINARY SHARES	Management		

Investment Company Report

17	ALLOTMENT OF SHARES - SECOND AUTHORITY FOR THE DIRECTORS' TO ALLOT NEW SHARES OF THE COMPANY	Management
18	DISAPPLICATION OF PRE-EMPTION RIGHTS - SECOND AUTHORITY FOR THE RENEWAL OF THE AUTHORITY TO ALLOT UP TO 10% OF THE ORDINARY SHARES OF THE COMPANY FOR CASH WITHOUT FIRST OFFERING THEM TO EXISTING SHAREHOLDERS	Management
19	DISAPPLICATION OF PRE-EMPTION RIGHTS FOR TREASURY SHARES - SECOND REQUEST FOR RENEWAL OF AUTHORITY TO ALLOT THE ORDINARY SHARES OF THE COMPANY WHICH ARE HELD BY THE COMPANY AS TREASURY SHARES FOR CASH WITHOUT FIRST OFFERING THEM TO EXISTING SHAREHOLDERS	Management
20	UPDATED ARTICLES OF ASSOCIATION	Management

Investment Company Report

BERKSHIRE HATHAWAY INC.

Security	084670702	Meeting Type	Annual
Ticker Symbol	BRKB	Meeting Date	01-May-2021
ISIN	US0846707026	Agenda	935351128 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		
	1 Warren E. Buffett		For	For
	2 Charles T. Munger		For	For
	3 Gregory E. Abel		For	For
	4 Howard G. Buffett		For	For
	5 Stephen B. Burke		For	For
	6 Kenneth I. Chenault		For	For
	7 Susan L. Decker		For	For
	8 David S. Gottesman		For	For
	9 Charlotte Guyman		For	For
	10 Ajit Jain		For	For
	11 Thomas S. Murphy		For	For
	12 Ronald L. Olson		For	For
	13 Walter Scott, Jr.		For	For
	14 Meryl B. Witmer		For	For
2.	Shareholder proposal regarding the reporting of climate-related risks and opportunities.	Shareholder	For	Against
3.	Shareholder proposal regarding diversity and inclusion reporting.	Shareholder	For	Against

Investment Company Report

FIDELITY EUROPEAN TRUST PLC

Security	G3401M145	Meeting Type	Annual General Meeting
Ticker Symbol		Meeting Date	11-May-2021
ISIN	GB00BK1PKQ95	Agenda	713753918 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
1	TO RECEIVE AND ADOPT THE ANNUAL REPORT AND FINANCIAL STATEMENTS FOR THE YEAR ENDED 31 DECEMBER 2020	Management	For	For
2	TO APPROVE A FINAL DIVIDEND OF 3.90 PENCE PER ORDINARY SHARE	Management	For	For
3	TO RE-ELECT MR VIVIAN BAZALGETTE AS A DIRECTOR	Management	For	For
4	TO RE-ELECT MS FLEUR MEIJS AS A DIRECTOR	Management	For	For
5	TO RE-ELECT SIR IVAN ROGERS AS A DIRECTOR	Management	For	For
6	TO RE-ELECT MS MARION SEARS AS A DIRECTOR	Management	For	For
7	TO RE-ELECT MR PAUL YATES AS A DIRECTOR	Management	For	For
8	TO APPROVE THE DIRECTORS' REMUNERATION REPORT FOR THE YEAR ENDED 31 DECEMBER 2020	Management	For	For
9	TO REAPPOINT ERNST YOUNG LLP AS AUDITOR OF THE COMPANY	Management	For	For
10	TO AUTHORISE THE DIRECTORS TO DETERMINE THE AUDITOR'S REMUNERATION	Management	For	For
11	TO RENEW THE DIRECTORS AUTHORITY TO ALLOT SHARES	Management	For	For
12	TO DISAPPLY PRE-EMPTION RIGHTS	Management	For	For
13	TO RENEW THE COMPANY'S AUTHORITY TO MAKE MARKET PURCHASES OF SHARES IN THE CAPITAL OF THE COMPANY	Management	For	For
14	TO APPROVE THE CONTINUATION OF THE COMPANY AS AN INVESTMENT TRUST	Management	For	For
15	TO APPROVE THE ADOPTION OF NEW ARTICLES OF ASSOCIATION OF THE COMPANY	Management	For	For

Investment Company Report

EUROPEAN ASSETS TRUST PLC

Security	G315BE102	Meeting Type	Annual General Meeting
Ticker Symbol		Meeting Date	13-May-2021
ISIN	GB00BHJVQ590	Agenda	713794180 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
1	ADOPTION OF THE FINANCIAL STATEMENTS OF EUROPEAN ASSETS TRUST PLC FOR THE YEAR ENDED 31 DECEMBER 2020	Management	For	For
2	TO APPROVE THE 2021 DIVIDEND OF 8.00 PENCE PER SHARE	Management	For	For
3	TO APPROVE THE COMPANY'S DIVIDEND POLICY	Management	For	For
4	TO APPROVE THE DIRECTORS' REMUNERATION REPORT FOR THE YEAR ENDED 31 DECEMBER 2020	Management	For	For
5	TO RE-APPOINT PRICEWATERHOUSECOOPERS LLP AS AUDITORS TO EUROPEAN ASSETS TRUST PLC	Management	For	For
6	TO AUTHORISE THE AUDIT AND RISK COMMITTEE TO DETERMINE THE REMUNERATION OF THE AUDITORS	Management	For	For
7	TO RE-APPOINT JACK PERRY TO THE BOARD OF EUROPEAN ASSETS TRUST PLC	Management	For	For
8	TO RE-APPOINT JULIA BOND TO THE BOARD OF EUROPEAN ASSETS TRUST PLC	Management	For	For
9	TO RE-APPOINT STUART PATERSON TO THE BOARD OF EUROPEAN ASSETS TRUST PLC	Management	For	For
10	TO RE-APPOINT MARTIN BREUER TO THE BOARD OF EUROPEAN ASSETS TRUST PLC	Management	For	For
11	TO APPOINT PUI KEI YUEN TO THE BOARD OF EUROPEAN ASSETS TRUST PLC	Management	For	For
12	TO AUTHORISE EUROPEAN ASSETS TRUST PLC TO ALLOT SHARES	Management	Against	Against
13	TO AUTHORISE DIRECTORS TO DIS-APPLY PRE-EMPTION RIGHTS	Management	Against	Against
14	TO AUTHORISE THE DIRECTORS TO PURCHASE THE COMPANY'S ORDINARY SHARES	Management	For	For

Investment Company Report

JPMORGAN AMERICAN INVESTMENT TRUST PLC

Security	G5198J110	Meeting Type	Annual General Meeting
Ticker Symbol		Meeting Date	14-May-2021
ISIN	GB00BKZGVH64	Agenda	713820620 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
1	ACCEPT FINANCIAL STATEMENTS AND STATUTORY REPORTS	Management	For	For
2	APPROVE REMUNERATION POLICY	Management	For	For
3	APPROVE REMUNERATION REPORT	Management	For	For
4	APPROVE FINAL DIVIDEND: 4.25P PER SHARE	Management	For	For
5	RE-ELECT DR KEVIN CARTER AS DIRECTOR	Management	For	For
6	RE-ELECT SIMON BRAGG AS DIRECTOR	Management	For	For
7	RE-ELECT SIR ALAN COLLINS AS DIRECTOR	Management	For	For
8	RE-ELECT NADIA MANZOOR AS DIRECTOR	Management	For	For
9	RE-ELECT ROBERT TALBUT AS DIRECTOR	Management	For	For
10	ELECT CLAIRE BINYON AS DIRECTOR	Management	For	For
11	REAPPOINT DELOITTE LLP AS AUDITORS AND AUTHORISE THEIR REMUNERATION	Management	For	For
12	AUTHORISE ISSUE OF EQUITY	Management	For	For
13	AUTHORISE ISSUE OF EQUITY WITHOUT PRE-EMPTIVE RIGHTS	Management	For	For
14	AUTHORISE MARKET PURCHASE OF ORDINARY SHARES	Management	For	For
15	ADOPT NEW ARTICLES OF ASSOCIATION	Management	For	For
CMMT	07 APR 2021: PLEASE NOTE THAT THIS IS A REVISION DUE TO MODIFICATION OF TEXT-IN RESOLUTION 4. IF YOU HAVE ALREADY SENT IN YOUR VOTES, PLEASE DO NOT VOTE-AGAIN UNLESS YOU DECIDE TO AMEND YOUR ORIGINAL INSTRUCTIONS. THANK YOU.	Non-Voting		

Investment Company Report

THE ABERDEEN JAPAN EQUITY FUND

Security	00306J109	Meeting Type	Annual
Ticker Symbol	JEQ	Meeting Date	27-May-2021
ISIN	US00306J1097	Agenda	935431609 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
1.1	Election of Class I Director to serve until the 2024 Annual Meeting of Stockholders: Radhika Ajmera	Management	Against	Against
2.1	Election of Class III Director to serve until the 2023 Annual Meeting of Stockholders: P. Gerald Malone	Management	Against	Against

Investment Company Report

THE NORTH AMERICAN INCOME TRUST PLC

Security	G6607G119	Meeting Type	Annual General Meeting
Ticker Symbol		Meeting Date	01-Jun-2021
ISIN	GB00BJ00Z303	Agenda	713999095 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
1	ACCEPT FINANCIAL STATEMENTS AND STATUTORY REPORTS	Management	For	For
2	APPROVE REMUNERATION REPORT	Management	For	For
3	APPROVE FINAL DIVIDEND	Management	For	For
4	RE-ELECT JAMES FERGUSON AS DIRECTOR	Management	Abstain	Against
5	RE-ELECT KARYN LAMONT AS DIRECTOR	Management	For	For
6	RE-ELECT SUSANNAH NICKLIN AS DIRECTOR	Management	For	For
7	RE-ELECT CHARLES PARK AS DIRECTOR	Management	For	For
8	RE-ELECT SUSAN RICE AS DIRECTOR	Management	For	For
9	REAPPOINT PRICEWATERHOUSECOOPERS LLP AS AUDITORS	Management	For	For
10	AUTHORISE BOARD TO FIX REMUNERATION OF AUDITORS	Management	For	For
11	APPROVE CONTINUATION OF COMPANY AS INVESTMENT TRUST	Management	For	For
12	AUTHORISE ISSUE OF EQUITY	Management	For	For
13	AUTHORISE ISSUE OF EQUITY WITHOUT PRE-EMPTIVE RIGHTS	Management	For	For
14	AUTHORISE MARKET PURCHASE OF ORDINARY SHARES	Management	For	For
15	ADOPT NEW ARTICLES OF ASSOCIATION	Management	For	For

Investment Company Report

LEGG MASON

Security	18469P209	Meeting Type	Annual
Ticker Symbol	EMO	Meeting Date	04-Jun-2021
ISIN	US18469P2092	Agenda	935343094 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
1.1	Election of Class I Director to serve until the 2024 Annual Meeting of Stockholders: Carol L. Colman	Management	Against	Against
1.2	Election of Class I Director to serve until the 2024 Annual Meeting of Stockholders: Paolo M. Cucchi	Management	Against	Against
2.	To ratify the selection of PricewaterhouseCoopers LLP as the Fund's independent registered public accountants for the fiscal year ending November 30, 2021.	Management	For	For

Investment Company Report

TEKLA CAPITAL MANAGEMENT LLC

Security	87911J103	Meeting Type	Annual
Ticker Symbol	HQH	Meeting Date	10-Jun-2021
ISIN	US87911J1034	Agenda	935424856 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		
	1 Thomas M. Kent, CPA		Withheld	Against
	2 Daniel R. Omstead, PhD		Withheld	Against
2.	The ratification or rejection of the selection of Deloitte & Touche LLP as the independent registered public accountants of the Fund for the fiscal year ending September 30, 2021.	Management	For	For

Investment Company Report

POLAR CAPITAL GLOBAL FINANCIALS TRUST PLC

Security	G7163M102	Meeting Type	Ordinary General Meeting
Ticker Symbol		Meeting Date	16-Jun-2021
ISIN	GB00B9XQT119	Agenda	714165138 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
1	AUTHORISE ISSUE OF EQUITY IN CONNECTION WITH THE INITIAL ISSUE AND THE PLACING PROGRAMME	Management	For	For
2	AUTHORISE ISSUE OF EQUITY WITHOUT PRE-EMPTIVE RIGHTS IN CONNECTION WITH THE INITIAL ISSUE AND THE PLACING PROGRAMME	Management	For	For
3	ADOPT NEW ARTICLES OF ASSOCIATION	Management	For	For
CMMT	17 MAY 2021: PLEASE NOTE THAT THE MEETING TYPE CHANGED FROM SGM TO OGM. IF-YOU HAVE ALREADY SENT IN YOUR VOTES, PLEASE DO NOT VOTE AGAIN UNLESS YOU-DECIDE TO AMEND YOUR ORIGINAL INSTRUCTIONS. THANK YOU	Non-Voting		

Investment Company Report

VOYA GBL EQ DIVIDEND AND PREMIUM OPP FD

Security	92912T100	Meeting Type	Annual
Ticker Symbol	IGD	Meeting Date	18-Jun-2021
ISIN	US92912T1007	Agenda	935428284 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		
	1	Colleen D. Baldwin	Withheld	Against
	2	Joseph E. Obermeyer	Withheld	Against
	3	Christopher P. Sullivan	Withheld	Against

Investment Company Report

SCOTTISH MORTGAGE INVESTMENT TRUST PLC

Security	G79211127	Meeting Type	Annual General Meeting
Ticker Symbol		Meeting Date	24-Jun-2021
ISIN	GB00BLDYK618	Agenda	714230036 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
CMMT	PLEASE NOTE THAT THIS IS AN AMENDMENT TO MEETING ID 587739 DUE TO ADDITION OF- RESOLUTION 15. ALL VOTES RECEIVED ON THE PREVIOUS MEETING WILL BE DISREGARDED-AND YOU WILL NEED TO REINSTRUCT ON THIS MEETING NOTICE. THANK YOU	Non-Voting		
1	TO RECEIVE AND ADOPT THE COMPANY'S ANNUAL REPORT AND FINANCIAL STATEMENTS FOR THE FINANCIAL YEAR ENDED 31 MARCH 2021, TOGETHER WITH THE REPORTS OF THE DIRECTORS AND THE INDEPENDENT AUDITORS' REPORT THEREON	Management	For	For
2	TO APPROVE THE DIRECTORS' ANNUAL REPORT ON REMUNERATION FOR THE FINANCIAL YEAR ENDED 31 MARCH 2021	Management	For	For
3	TO DECLARE A FINAL DIVIDEND OF 1.97P PER ORDINARY SHARE	Management	For	For
4	TO RE-ELECT MS FC MCBAIN AS A DIRECTOR OF THE COMPANY	Management	Against	Against
5	TO RE-ELECT PROFESSOR A BHIDE AS A DIRECTOR OF THE COMPANY	Management	For	For
6	TO RE-ELECT MR LJ DOWLEY AS A DIRECTOR OF THE COMPANY	Management	For	For
7	TO RE-ELECT PROFESSOR PH MAXWELL AS A DIRECTOR OF THE COMPANY	Management	For	For
8	TO RE-ELECT PROFESSOR P SUBACCHI AS A DIRECTOR OF THE COMPANY	Management	For	For
9	TO RE-APPOINT PRICEWATERHOUSECOOPERS LLP AS INDEPENDENT AUDITORS OF THE COMPANY TO HOLD OFFICE UNTIL THE CONCLUSION OF THE NEXT ANNUAL GENERAL MEETING AT WHICH THE FINANCIAL STATEMENTS ARE LAID BEFORE THE COMPANY	Management	For	For
10	TO AUTHORISE THE DIRECTORS TO DETERMINE THE REMUNERATION OF THE INDEPENDENT AUDITORS OF THE COMPANY	Management	For	For
11	THAT: (A) THE DIRECTORS BE GENERALLY AND UNCONDITIONALLY AUTHORISED PURSUANT TO SECTION 551 OF THE COMPANIES ACT 2006 (THE 'ACT') TO ALLOT SHARES IN THE COMPANY, OR TO GRANT RIGHTS TO SUBSCRIBE FOR OR CONVERT ANY SECURITY INTO SHARES IN THE COMPANY, UP TO A MAXIMUM NOMINAL AMOUNT OF GBP	Management	For	For

Investment Company Report

7,108,094.80; AND (B) THE AUTHORITY GIVEN BY THIS RESOLUTION: (I) SHALL BE IN SUBSTITUTION FOR ALL PRE-EXISTING AUTHORITIES UNDER SECTION 551 OF THE ACT; AND (II) UNLESS RENEWED, REVOKED OR VARIED IN ACCORDANCE WITH THE ACT, SHALL EXPIRE ON 24 SEPTEMBER 2022 OR, IF EARLIER, AT THE CONCLUSION OF THE ANNUAL GENERAL MEETING OF THE COMPANY TO BE HELD IN 2022 SAVE THAT THE COMPANY MAY, BEFORE SUCH EXPIRY, MAKE ANY OFFER OR ENTER INTO AN AGREEMENT WHICH WOULD OR MIGHT REQUIRE THE ALLOTMENT OF SHARES IN THE COMPANY, OR THE GRANT OF RIGHTS TO SUBSCRIBE FOR OR TO CONVERT ANY SECURITY INTO SHARES IN THE COMPANY, AFTER SUCH EXPIRY

12	<p>THAT, SUBJECT TO THE PASSING OF RESOLUTION 11 SET OUT IN THE NOTICE OF ANNUAL GENERAL MEETING DATED 21 MAY 2021 (THE 'ALLOTMENT AUTHORITY'), THE DIRECTORS BE GIVEN POWER PURSUANT TO SECTIONS 570 AND 573 OF THE COMPANIES ACT 2006 (THE 'ACT') TO ALLOT EQUITY SECURITIES (WITHIN THE MEANING OF SECTION 560(1) OF THE ACT) FOR CASH PURSUANT TO THE ALLOTMENT AUTHORITY, AND TO SELL TREASURY SHARES FOR CASH, AS IF SECTION 561(1) OF THE ACT DID NOT APPLY TO SUCH ALLOTMENT OR SALE, PROVIDED THAT SUCH POWER: (A) SHALL BE LIMITED TO THE ALLOTMENT OF EQUITY SECURITIES OR THE SALE OF TREASURY SHARES UP TO AN AGGREGATE NOMINAL AMOUNT OF GBP 7,108,094.80; (B) SHALL BE IN SUBSTITUTION FOR ALL PRE-EXISTING POWERS UNDER SECTIONS 570 AND 573 OF THE ACT; AND (C) SHALL EXPIRE AT THE SAME TIME AS THE ALLOTMENT AUTHORITY, SAVE THAT THE COMPANY MAY, BEFORE EXPIRY OF THE POWER CONFERRED ON THE DIRECTORS BY THIS RESOLUTION, MAKE AN OFFER OR AGREEMENT WHICH WOULD OR MIGHT REQUIRE EQUITY SECURITIES TO BE ALLOTTED AFTER SUCH EXPIRY</p>	Management	For	For
13	<p>THAT THE DIRECTORS BE AUTHORISED FOR THE PURPOSES OF LR15.4.11 OF THE LISTING RULES OF THE UK LISTING AUTHORITY OF THE FINANCIAL CONDUCT AUTHORITY TO ALLOT ORDINARY SHARES AND TO SELL TREASURY SHARES FOR CASH AT A PRICE BELOW THE NET ASSET VALUE PER SHARE OF THOSE SHARES WITHOUT FIRST OFFERING THOSE SHARES PRO RATA TO EXISTING SHAREHOLDERS</p>	Management	Against	Against
14	<p>THAT, IN SUBSTITUTION FOR ANY EXISTING AUTHORITY, BUT WITHOUT PREJUDICE TO THE EXERCISE OF ANY SUCH AUTHORITY PRIOR TO THE DATE HEREOF, THE COMPANY BE GENERALLY AND UNCONDITIONALLY AUTHORISED, IN ACCORDANCE WITH SECTION 701 OF THE</p>	Management	For	For

COMPANIES ACT 2006 (THE 'ACT') TO MAKE MARKET PURCHASES (WITHIN THE MEANING OF SECTION 693(4) OF THE ACT) OF ITS ORDINARY SHARES, (EITHER FOR RETENTION AS TREASURY SHARES FOR FUTURE REISSUE, RESALE, TRANSFER OR FOR CANCELLATION), PROVIDED THAT: (A) THE MAXIMUM NUMBER OF ORDINARY SHARES HEREBY AUTHORISED TO BE PURCHASED IS 213,100,683 OR, IF LESS, THE NUMBER REPRESENTING APPROXIMATELY 14.99 PER CENT. OF THE ISSUED SHARE CAPITAL OF THE COMPANY ON THE DATE ON WHICH THIS RESOLUTION IS PASSED; (B) THE MINIMUM PRICE (EXCLUDING EXPENSES) WHICH MAY BE PAID FOR EACH ORDINARY SHARE SHALL BE THE NOMINAL VALUE OF THAT SHARE; (C) THE MAXIMUM PRICE (EXCLUDING EXPENSES) WHICH MAY BE PAID FOR ANY ORDINARY SHARE PURCHASED PURSUANT TO THIS AUTHORITY SHALL NOT BE MORE THAN THE HIGHER OF: (I) 5 PER CENT. ABOVE THE AVERAGE CLOSING PRICE ON THE LONDON STOCK EXCHANGE OF AN ORDINARY SHARE OVER THE FIVE BUSINESS DAYS IMMEDIATELY PRECEDING THE DATE OF PURCHASE; AND (II) THE HIGHER PRICE OF THE LAST INDEPENDENT TRADE OF AN ORDINARY SHARE AND THE HIGHEST CURRENT INDEPENDENT BID FOR SUCH A SHARE ON THE LONDON STOCK EXCHANGE; AND (D) UNLESS PREVIOUSLY VARIED, REVOKED OR RENEWED BY THE COMPANY IN GENERAL MEETING, THE AUTHORITY HEREBY CONFERRED SHALL EXPIRE AT THE CONCLUSION OF THE ANNUAL GENERAL MEETING OF THE COMPANY TO BE HELD IN 2022, SAVE THAT THE COMPANY MAY, PRIOR TO THE EXPIRY OF SUCH AUTHORITY, ENTER INTO A CONTRACT OR CONTRACTS TO PURCHASE ORDINARY SHARES UNDER SUCH AUTHORITY WHICH WILL OR MIGHT BE COMPLETED OR EXECUTED WHOLLY OR PARTLY AFTER THE EXPIRATION OF SUCH AUTHORITY AND MAY MAKE A PURCHASE OF ORDINARY SHARES PURSUANT TO ANY SUCH CONTRACT OR CONTRACTS

15	<p>TO AMEND THE COMPANY'S ARTICLES OF ASSOCIATION BY DELETING ARTICLE 97 (BEING THE SO-CALLED 'DIRECTORS' SHARE QUALIFICATION' PROVISIONS REQUIRING DIRECTORS TO HOLD SHARES IN THE COMPANY HAVING A NOMINAL VALUE OF GBP 250) IN ITS ENTIRETY</p>	Management	For	For
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Investment Company Report

THE NEW GERMANY FUND

Security	644465106	Meeting Type	Annual
Ticker Symbol	GF	Meeting Date	24-Jun-2021
ISIN	US6444651060	Agenda	935441080 - Management

Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		
	1 Richard R. Burt		Withheld	Against
	2 Dr. Wolfgang Leoni		For	For
	3 Ms. Hepsen Uzcan		For	For
2.	To ratify the appointment by the Audit Committee and the Board of Directors of Ernst & Young LLP, an independent public accounting firm, as independent auditors for the fiscal year ending December 31, 2021.	Management	For	For