

## Terms and Conditions

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The following Terms and Conditions govern the Tradex Member Referral Prize Draw. Please note, the terms and conditions are subject to change at any time without notice.

### TRADEX MANAGEMENT INC.

#### Member Referral Prize Draw — Official Terms and Conditions

##### 1. Sponsor

This program is sponsored by Tradex Management Inc. ("Tradex"), 1604-340 Albert St, Ottawa, Ontario, K1R 7Y6, (613) 233-3394 or 1-800-567-3863, [advice@tradex.ca](mailto:advice@tradex.ca).

##### 2. Program Period

The Tradex Member Referral Prize Draw (the "Program") runs from May 1, 2026 to November 30, 2026 at 11:59 p.m. Eastern Time (the "Program Period"). All referral form submissions must be received before the end of the Program Period to be eligible for a draw entry. Tradex reserves the right to extend the Program Period beyond the original end date if, in management's judgment, the volume of eligible entries received is insufficient to conduct a meaningful draw. Any extension will be communicated to eligible participants by CRM email and/or posted on the Tradex website, and the draw date will be adjusted accordingly. The Program Period will not be extended beyond December 31, 2026.

##### 3. Eligibility

The Program is open to current Tradex members who are residents of Ontario and British Columbia, who are the age of majority in their province or territory of residence. Employees, officers, and directors of Tradex Management Inc., and their immediate family members and household members, are not eligible to participate in any capacity. Residents of Quebec are not eligible to participate but are eligible to participate in the ongoing \$66 member referral incentive program.

##### 4. No Purchase Necessary

No purchase, investment, or financial transaction of any kind is necessary to enter or win. Opening a Tradex account or transferring assets to Tradex is not required for entry. A free method of entry is available — see Section 5(c).

##### 5. How to Enter

(a) Referring member entry: Eligible Tradex members may submit a referral through the referral form available at [\[Click here for Member Prize Draw Entry Form\]](#) during the Program Period. Each qualifying referral earns one (1) draw entry. To submit a valid referral, the referring member must complete all required form fields and check all required confirmation boxes, including: (i) confirmation that the referred person has agreed to be contacted by Tradex; (ii) confirmation that neither the referring member nor the referred person is a resident of Quebec; and (iii) agreement to these Terms and Conditions. Multiple referrals earn one entry each. Limit: no limit on the number of referrals a member may submit.

(b) Referred person entry: A person referred by an eligible Tradex member who books and completes a meeting with a Tradex advisor during the Program Period — without being required

to open an account or make any financial commitment — earns one (1) draw entry. The referred person must be an Ontario or British Columbia resident (excluding Quebec) and must be the age of majority in their province or territory of residence. Limit: one entry per referred person.

(c) Free entry (no referral required): Any eligible person may request one (1) free entry by contacting Tradex at [advice@tradex.ca / 1604-340 Albert St N, Ottawa, On, K1R 7Y6] during the Program Period, providing their full name and contact information. No referral, purchase, or Tradex membership is required for this method of entry. Limit: one free entry per person. For a free entry, the eligible person must book and complete a meeting with a Tradex Advisor.

## **6. Quebec Residents**

Residents of the Province of Quebec are not eligible to participate in this Program under any entry method. This Program is not open to Quebec residents and is void in Quebec. Residents of Quebec are not eligible however are still eligible for the ongoing \$66 member referral incentive.

## **7. Draw**

One (1) winner will be selected by random draw from all eligible entries received during the Program Period. The draw will take place on or around December 2026 at Tradex's office. The total number of eligible entries will determine the odds of winning. Tradex's decisions regarding eligibility and the draw are final.

## **8. Prize**

One (1) prize of \$1,000 CAD (form of payment to be determined with prize draw winner) will be awarded.

## **9. Winner Notification**

The selected winner will be notified by Tradex within five (5) business days of the draw using the contact information provided at entry. The winner must confirm acceptance within ten (10) business days of notification. Failure to respond may result in forfeiture and selection of an alternate winner.

## **10. Privacy and Canadian Anti-Spam Legislation (CASL)**

Personal information collected through this Program will be used solely to administer the Program and fulfill the prize, in accordance with Tradex's Privacy Policy. Submission of a referral form by a Tradex member under Section 5(a) constitutes confirmation that the referred person has agreed to receive one introductory email from Tradex, as described in CASL section 6(5). That email will identify the referring member by name and include an unsubscribe mechanism. Entry into this Program does not constitute consent by any party to receive ongoing commercial electronic messages from Tradex beyond what each individual has separately consented to.

## **11. Winner Announcement**

Tradex may publish the winner's name in member communications with the winner's prior written consent. No personal information will be published without consent.

## **12. General Conditions**

Tradex reserves the right to cancel, modify, or suspend this Program at any time if circumstances beyond its control prevent it from being conducted as planned. All decisions of Tradex are final. By participating, entrants agree to be bound by these Terms and Conditions.

### **13. Governing Law**

This Program is subject to all applicable federal and provincial laws and is void where prohibited. This Program is not open to residents of Quebec.

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*Tradex Management Inc. 2026*